# The Breast Screening Manual

## A Guide for Health Departments and Providers

Revised December 2016, Updated June 2018

#### **Collaboration Partners:**

Chronic Disease and Injury Section,
Breast and Cervical Cancer Control Program
Medical Advisory Committee for the Breast and Cervical Cancer Control
Program
Women's and Children's Health Section

# North Carolina Department of Health and Human Services Division of Public Health





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HEALTH AND HUMAN SERVICES

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#### **MEMORANDUM**

To: Local Health Directors

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Subject: Revised Breast Screening Manual: A Guide for Health

Departments and Providers, (December 7, 2016)

Date: December 7, 2016

Enclosed is the revision of the Breast Screening Manual, replacing "The Breast and Cervical Screening Manual: A Guide for Health Departments" published in 2006. The revision is an interdepartmental collaboration between the Division of Public Health - Chronic Disease and Injury Section, North Carolina Breast and Cervical Cancer Control Program and Woman's and Children's Health Section.

The current guidance from Center for Disease Control and Prevention, National Cancer Institute, American Cancer Society, U.S. Preventive Services Task Force, American College of Obstetricians and Gynecologists, American Academy of Family Physicians, American Society of Breast Surgeons and the American College of Radiology is encompassed in the Breast Screening Manual.

The Division of Public Health document is to be used as a model and template for writing policies and procedures to recruit, screen, diagnose and treat women for breast cancer. In keeping with our mission to work in partnership with local communities to improve the quality of life and save the lives of women in North Carolina, this manual will be helpful in delivery of health care services to the public. We thank you and appreciate the work you do to improve the quality of life for North Carolina women.



#### **ACKNOWLEDGEMENTS**

## Breast Screening Manual: A Guide for Health Departments and Providers Revised June 2018

This Breast Screening Manual was reviewed and revised through the collaborative efforts of representatives of the following Division of Public Health sections and programs:

Chronic Disease and Injury Section

**Breast and Cervical Cancer Program** 

Women's and Children's Health Section

The Breast Screening Manual Committee expresses gratitude and appreciation to all individuals who worked toward the successful completion of the Breast Screening Manual.

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## THE BREAST SCREENING MANUAL

## A Guide for Health Departments and Providers

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## TAB 1: Overview

#### **BREAST HEALTH**

The National Cancer Institute (NCI) estimates that women living in the United States have a 12.4%, or a 1 in 8, lifetime risk of being diagnosed with breast cancer (NCI, 2016). Estimated risk is an average risk for all women. Individual risk factors include age, family history, reproductive history, race and ethnicity, as well as other factors. NCI's Surveillance, Epidemiology, and End Results [SEER] Program, is based on breast cancer statistics for the years 2007 through 2009. If the current incidence rate remains the same, a woman born today has an approximate lifetime risk of 1 in 8 for being diagnosed with breast cancer at some time during her life if she lives to an average life expectancy of 85 years.

The SEER report (NCI, 2016) estimates the risk of developing breast cancer in 10-year age intervals. Per the current report, the risk that a woman will be diagnosed with breast cancer during the next 10 years, starting at the following ages is as follows:

```
Age 30 . . . . . 0.44 percent (or 1 in 227)

Age 40 . . . . 1.47 percent (or 1 in 68)

Age 50 . . . . 2.38 percent (or 1 in 42)

Age 60 . . . . 3.56 percent (or 1 in 28)

Age 70 . . . . 3.82 percent (or 1 in 26)
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Women in North Carolina have the same lifetime risk as the national average. In their annual projections for North Carolina, the American Cancer Society (ACS) estimates that 7,830 women will be diagnosed with breast cancer in 2016, and an estimated 1,360 women will die of breast cancer. Breast cancer is the second leading cause of cancer deaths in North Carolina women. The burden of breast cancer falls heavily on low-income and minority women, particularly women in rural North Carolina. In 2014, North Carolina minority females were 30% more likely to die from breast cancer than white females (ACS, 2016).

Nationally, survival rates have increased over time for both white and African American women; however, the American Cancer Society reports the disparity in five-year survival rates between white women (92%) and African-American women (81%) persists. Lower survival rates in African-American women are hypothesized to be due to later stage detection of their breast cancers and the higher rate of more aggressive breast cancers in young African-American women (ACS, 2016).

Early detection and treatment of breast cancer is saving lives. The American Cancer Society reported the decline of breast cancer mortality rates across the U.S. by 36% from 1989-2012. With improvements in early detection and treatment, more cases of breast cancer will be diagnosed and treated at earlier stages, and breast cancer mortality will continue to decrease (ACS, 2016).

# TAB 2: Breast Cancer Screening & Risk Factors

#### BREAST CANCER SCREENING AND BREAST CANCER RISK FACTORS

#### **Breast Cancer Risk Factors**

Scientists and physicians cannot explain why one woman gets breast cancer and another does not. Scientists have studied patterns and have found that environmental factors and certain personal habits can increase a person's chances of developing cancer. Per the National Cancer Institute (NCI), prevention means avoiding the risk factors and increasing the protective factors that can be controlled so that the chance of developing cancer decreases (NCI, 2016). While risk factors can be avoided, avoidance does not necessarily guarantee a life free of breast cancer.

#### The National Cancer Institute Findings:

- Inherited changes in certain genes (including BRCA1, BRCA2, and others) increase the risk of breast cancer.
- Having a mother, sister and/or daughter with breast cancer increases the risk of developing breast cancer, especially if they were diagnosed before age 50.
- Women who have a high percentage of breast tissue that appears dense on a mammogram have a higher risk of breast cancer than women of similar age who have little or no dense breast tissue.
- Populations that eat a high-fat diet are more likely to die of breast cancer.
- Exercise, especially in young women, may decrease hormonal levels and decrease breast cancer risk.
- Breast feeding reduces breast cancer risk.
- Alcohol consumption may be associated with a slightly increased risk of breast cancer.
- Postmenopausal weight gain after natural menopause and/or after age 60 may increase breast cancer risk.
- Women who use combined estrogen and progestin menopausal hormone therapy for 5+ years have an increased chance of developing breast cancer (NCI, 2016).

#### The American Cancer Society Findings:

- Risk factors that are not easily changed:
  - Family history of breast cancer
  - BRCA1/BRCA2 inherited gene mutations
  - Having first period before twelve
  - Not having children or not having first child until after age 30
  - Late age at menopause
  - High breast tissue density
  - High bone mineral density (ACS, 2016).
- Modifiable risk factors:
  - Limiting the use of hormone replacement therapy (combined estrogen and progestin)
  - Reducing alcohol consumption

- Breast feeding your child/lactating
- Avoiding obesity
- Being physically active (ACS, 2016).

The link between breast cancer and other factors such as smoking, diet and vitamin intake and night shift work remain unclear with conflicting research findings. The 2014 Surgeon General's report concluded that there is "suggestive but not sufficient" evidence that smoking increases the risk of breast cancer. While diet and vitamin intake results remain inconsistent, maintaining a healthy weight reduces risks. In 2007, the International Agency for Research on Cancer classified shift work with circadian disruption as a probable human carcinogen; however, the current state of scientific knowledge does not permit a firm conclusion that shift work increases the risk of cancer (ACS, 2016).

The Best Preventive Recommendations for Breast Cancer:

- Achieve and maintain a healthy weight
- Be physically active
- Maintain adequate and healthy sleep habits
- Limit alcoholic beverages
- Avoid exposure to chemicals
- Reduce exposure to radiation
- Consider the risks and benefits of hormonal replacement therapy with provider or as a provider.
- Screening for breast cancer. Although screening does not protect against breast cancer, it may detect cancer earlier and allow for earlier treatment and best prognosis (Center for Disease Control [CDC], 2016).

Components of Breast Cancer Screening in North Carolina

There are two main components of breast cancer screening:

- 1. Clinical Breast Examination (CBE)
- 2. Age-appropriate mammogram

Current Recommendations for Breast Screenings for Average-Risk Women

Aside from genetics, personal and family history, there is no consensus on age for mammography screening, especially for women between the ages of 40 and 49.

Whether to do a clinical breast exam is currently a controversial issue. As of publication of this manual, NC BCCCP continues to require clinical breast examination as part of the screening process.

Listed below is a sampling of various government and health care organizations and their guidance.

Organization	Clinical Breast Exam recommendation	Mammogram recommendation
American Cancer Society (ACS)	No CBE all ages	Age 40- 44 opportunity to screen
		Age 45- 54 screen annually
		Ages 55 plus screen biennially with an opportunity to screen annually
		Upper limit Life expectancy > 10 years, if the woman is in reasonably good health
American College of Radiology (ACR)		Annual screening beginning at age 40
American Academy of Family Physicians (AAFP)	Current evidence is insufficient to assess the benefits and harms of clinical breast examination (CBE) for women aged 40 and older.	Age 50-74 screen every two years
American Society of Breast Surgeons, 2016	No recommendation	Discussion with physician to consider screening mammography at age 40- 44
		Annual screening mammogram for women ages 45- 54 as indicated by the new ACS guidelines
		Annual or biennial screening mammogram for women 55 and older based upon a shared decision-making discussion

Organization	Clinical Breast Exam recommendation	Mammogram recommendation
		regarding risk and benefits of screening timing
		Biennial screening for women over the age of 75 if an estimated life expectancy is greater than 10 years (ASBrS, 2016).
US Preventive Services Task Force (USPSTF)	No recommendation	Personal decision ages 40- 49
		Every 2 years, ages 50- 74
		Insufficient evidence of benefit age 75 and beyond
ACOG	Women aged 25- 39 CBE every 1- 3 years;	Annual screening beginning at age 40
	Annual CBE beginning at age 40 (ACOG, 2018)	Supports shared decision making between physician and patient (ACOG, 2018)
National Breast and Cervical Cancer Early Detection Program, Center for Disease Control (NBCCEDP)	Every 1 year, ages 40- 64	Every 1- 2 years, ages 50- 64
North Carolina Breast and Cervical Cancer Control	Every 1 year, ages 40- 64	Federal Funds: Every 1- 2 years, ages 50- 64
Program (NC BCCCP)		State Funds: Every 1- 2 years, ages 40- 49 and 65- 75

- 1. American Cancer Society, 2016
- 2. American College of Radiology, 2016
- 3. American Academy of Family Physicians, 2016
- 4. American Society of Breast Surgeons, 2016
- 5. US Preventive Services Task Force, 2016
- 6. American College of Obstetricians and Gynecologists, 2018
- 7. National Breast and Cervical Cancer Early Detection Program, Center for Disease Control, 2016
- 8. North Carolina Breast and Cervical Cancer Control Program, Agreement Addendum, 2016-2017

#### Clinical Breast Examination (CBE)

The purpose of the clinical breast examination (CBE) is to assess breast health status. A CBE should be thorough. The examination may be done as part of a general exam or as a separate exam for asymptomatic or symptomatic women. In 2016, NC BCCCP continues to require clinical breast examination as part of the screening process. Please refer to the recommendations table on pages 7 and 8.

If you plan to conduct clinical breast examinations, and need training on the vertical strip method, please contact NC BCCCP at 919-707-5300.

#### Mammography Screening

Mammography is the best way to detect breast cancer in its earliest, most treatable stage—an average of 1–3 years before a woman can feel the lump (Duffy, 2012; National Cancer Institute, 2016). Mammography also locates cancers too small to be felt during a clinical breast examination.

#### 1. Screening mammogram

- a. Definition: A screening mammogram is performed on asymptomatic women to detect early, clinically unsuspected breast cancer. (American College of Radiology [ACR], 2016)
- b. Purpose: The purpose of screening mammograms is to find breast cancers before they cause symptoms. Early detection results in the diagnosis of breast cancer before there are palpable masses and symptoms. Breast cancers found during screening examinations are more likely to be small, confined to the breast, may not require chemotherapy or lymph node surgery, and increase the number of treatment options.

A screening mammogram consists of two views

#### **Mediolateral Oblique (MLO)**

Visualizes:

- ✓ Pectoral Muscle
- Nipple
- Breast Tissue

#### Craniocaudal (CC)

Visualizes:

- ✓ Nipple
- Breast Tissue
- Includes medial tissue that may not be seen on the MLO view

#### 2. Diagnostic Mammogram

- a. Definition: A diagnostic mammographic examination is performed on a woman with clinical signs or symptoms that suggest breast cancer (ACR, 2016). Please note that if a diagnostic mammogram is the first mammogram in the screening cycle, it is considered a screening exam within BCCCP.
  - Additional diagnostic examinations may be performed on women with an abnormal mammogram (ACR, 2016).
- b. Purpose: The purpose of diagnostic mammography is to identify the exact size and location of a breast abnormality, the surrounding tissue and lymph nodes. A diagnostic mammogram sometimes requires extra views, spot compression and magnification. Most diagnostic mammograms are likely to be benign. If an

abnormality is suspicious, usually an ultrasound study follows, and/or a biopsy may be ordered. If a woman has a clinically suspicious abnormality, a biopsy is the only way to determine with certainty whether she has breast cancer (National Breast Cancer Foundation, 2016).

Note: (1) When scheduling a mammogram, previous films should be requested and sent to the contracted radiology facility. Films should be requested at least two weeks prior to the woman's appointment. (2) Results of the CBE and history of any prior breast surgery should also be included on the referral form to the radiology facility.

#### NC BCCCP Guidance on Screening Mammography

The priority population for NBCCEDP (Federal Funds) screening mammography services is the group of women between the ages of 50 and 64 who are low-income (less than 250% of federal poverty level) and who have not been screened in the past year. At the clinician's discretion, women age 50-64 with a history of normal screening results and no significant risk factors may be put on an every-other-year screening cycle. The priority population for NC BCCCP State Funds is women between the ages of 40 and 49 who are low-income (less than 250% of federal poverty level) and who have not been screened in the past year. (Diagnostic mammograms may be provided for symptomatic women under 40 years of age using State Funds and under 50 years of age using Federal Funds.)

#### Federal BCCCP Screening Age Priorities:

Test Type	National Breast and Cervical Cancer Early Detection Program (NBCCEDP) Rules	Performance Indicator
Breast Cancer Screening	Screening mammograms to women 50 - 64 years of age every 1 - 2 years	At least 75% of all initial mammograms paid with Federal Funds should be within this age group
Breast Cancer Diagnostic Imaging	Mammograms provided for symptomatic women under 50 years of age who require a diagnostic work-up or who have a family history of breast cancer	No more than 25% of all initial mammograms paid with Federal Funds should be within this age group

NBCCEDP, 2016

#### NC State BCCCP Screening Age Priorities:

Test Type	NC Breast and Cervical Cancer Early Detection Program (NC BCCCP) Rules	Performance Indicator
Breast Cancer Screening	Screening mammograms to women age 40 - 49 years and up to age 75 if no other source of payment is available every 1 - 2 years	NC BCCCP funds cannot be used to screen asymptomatic women under the age of 40, even if considered to be at high risk for breast cancer.
Breast Cancer Diagnostic Imaging	Women age 21 to 75 with gross incomes below 250% of Federal Poverty Level, who are uninsured or underinsured may be eligible subject to limitations; Eligible women ages 21- 39 with an undiagnosed breast abnormality may receive NC BCCCP funded diagnostic services if no other source of healthcare reimbursement is available.	Eligible women ages 21-39 May be referred from Family Planning Services, outside clinics or through a self-referral for an undiagnosed abnormality.

NC BCCCP Training Manual, 2016

#### Breast Self-Examination (BSE)

A woman can notice changes by being aware of how her breasts normally look and feel and by feeling her breasts for changes, or by choosing to use a step-by-step approach and using a specific schedule to examine her breasts. Breast self-exam is no longer recommended by most professional organizations.

If your patients are interested in learning how to conduct breast self-exams, you may contact the NC BCCCP to set up a train-the-trainer instructional seminar.

TAB 3: Quality Assurance

#### **QUALITY ASSURANCE**

Quality Assurance Recommendations for Breast Cancer Screening

For breast cancer screening to be effective, health care providers must have systems in place to ensure that any abnormalities detected by clinical breast exam or mammography are followed up appropriately. Patients with abnormal tests results should be notified promptly. Patients who need additional diagnostic tests or treatment should be tracked to assure they receive proper follow-up care.

Five key steps are necessary for managing the results of breast cancer screening:

- 1. Track any imaging studies until results are obtained;
- 2. Follow requirements for patient notification (see page 14-16);
- 3. Document that notification has occurred:
- 4. Refer patients with any abnormalities on clinical breast exam or imaging for appropriate follow-up; and
- 5. Track referrals to make sure that patients have received follow-up.

Each clinic might have a different mechanism for ensuring that all these steps have occurred, but all clinics should have written guidelines, standards and policies for management of breast cancer screening programs. Written policies must be accessible to staff. This manual contains recommendations that should be considered in the development of local policies. Policies should be reviewed at least annually and revised as needed.

The following integral elements are required for a follow-up system.

- 1. <u>Designation of a responsible person:</u> The person designated as having responsibility for follow-up (or supervision of follow-up) of breast cancer screening should be a registered nurse (RN) who has knowledge of breast cancer screening programs and familiarity with guidelines regarding follow-up of patients with abnormal breast cancer screening results.
- 2. <u>A referral plan:</u> The referral plan will contain written procedures for referring patients with abnormal findings, including referral resources, the process of referring and the preparation of eligibility forms, if applicable. All education and counseling protocols should be included, along with a list of educational materials used to assist the patient in understanding the abnormal test result or any additional diagnostic tests that may be done.
- 3. <u>A follow-up plan:</u> The follow-up plan will contain written procedures that ensure the patient was referred to a provider, needed services were provided if the patient agreed to the referral and the results of the referral were returned to the agency.
- 4. <u>A tracking system:</u> Clinical management of patients is improved with a tracking system. Tickler files, computerized databases or written logs are common methods of tracking

patients. The system alerts staff of patients' status, especially abnormal breast screening, and provides a simple tool for follow-up. Any tracking system must be checked at predetermined intervals to ensure follow-up is completed. The following is a suggested general process for breast screening tracking:

- All mammograms ordered are logged into a tracking system
- When results are received by the agency, the person responsible for follow-up reviews the reports
- Results requiring no intervention require patient notification. The report is initialed by the nurse or designee and filed in the medical record. The patient is contacted and notified of the results.
- Results requiring follow-up are reviewed, the patient is notified, and the plan of care is determined based on this manual, local policy and consultation with the medical advisor.
- The plan of care and notification of the patient are documented in the medical record
- The nurse responsible for patient follow-up enters information in the tracking system and monitors the progress of the patient until follow-up is complete

#### Tracking systems remind staff to:

- Document all patient contacts
- Obtain results of all referrals
- Contact patients with incomplete short term follow up
- Navigate patients to additional work-up/referrals as indicated
- Develop procedures to overcome patient-related barriers to follow-up, for example, telephone reminders or mailing reminders
- Attempt to contact patients three times for results that have not been communicated, including a certified letter as the third attempt for lost to follow-up
- 5. <u>Internal quality assurance:</u> On an annual basis, a minimum of 5 chart audits should be performed to track the percent of women with abnormal results who receive definitive diagnostic and therapeutic procedures. Documentation of findings and corrective action should be on file.

#### **Patient Notification Requirements**

#### Mammography Quality Standards Act (MQSA)

MQSA requires the radiology facility that performed the mammogram to send the provider a report of the examination via hard copy and or electronic copy and send the patient a lay letter of the examination. The expectations for patient notification is as follows:

- If the mammogram is interpreted as Category 0: Incomplete, the radiologist will
  obtain additional imaging view and/or compare with prior mammograms. No report
  will be sent out until further imaging is complete.
- No additional follow-up is required if the mammogram is interpreted as

Category 1: Negative or

Category 2: Benign

The radiology facility is required to send a written mammography report within 30 days for categories 1 and 2. (Positive mammography reports should be available within three business days).

- If the mammogram is interpreted as Category 3: Probably benign, the radiologist will recommend a short-term follow up mammogram, usually in six months and send a written report within 5 and 3 business days.
- The following are required if the mammogram is interpreted as either

Category 4 - Suspicious or

Category 5 - Highly Suggestive of Malignancy,

The facility is required to notify the patient and health care provider of positive examinations as soon as possible (as guidance, within 5 and 3 business days respectively). In the case of verbal communication, this may be done by documenting such communication in the mammography report or in logs. In the case of written communication, see two bulleted items below:

- The facility is required to send written lay summaries to the patients themselves. This may be done by having copies of the lay summary available within five business days. If the facility does not keep copies of the patients' lay reports, they may document such communication in the mammography report, or in logs, or by stating in the facility's Quality Assurance (QA) manual that the lay summary is provided within the appropriate time frames.
- NC BCCCP strongly encourages the ordering provider to notify the patient of mammography results, positive or negative and document appropriately.

#### NC Screening Provider Quality Assurance

#### A. Responsibilities of all Breast Screening Providers

- Notify patients who have normal (negative) mammograms of their results (Radiologists as well as providers provide documentation.)
- Ensure follow-up of abnormal screening results with the patient
- All results from any referral will be documented in the patient's medical record
- Documentation will include all contacts with patients regarding appointments for referral and appointments not kept

#### B. Additional Responsibilities of Ordering Providers (NC BCCCP and Women's Health)

- The screening provider assures follow-up on patients with abnormal screening results is completed within 60 days of the patient's initial screening examination.
- Three attempts are required to contact patients with abnormal screening results.
   The third attempt to notify a patient with abnormal screening results must be by certified mail.
- The NC BCCCP and/or Women's Health provider will ensure clinical standards of care will be used to manage abnormal test results. Contracts with outside medical providers will specify program expectations.
- All NC BCCCP and/ or Women's Health providers will ensure eligible women, who
  have abnormal results for any covered test are followed by the Nurse Coordinator
  until:
  - o 1. A qualified provider determines that the patient does not have cancer; or
  - o 2. Until the patient is under care for a diagnosed cancer; or
  - 3. The Nurse Coordinator is unable to contact the patient; or
  - 4. The patient declines services.
- The follow-up process includes correct entry of clinical information to support NC BCCCP's requirements for CDC for submission and timely data reports or other mandated reports for Women's Health programs.
- The follow-up process also includes a local protocol that recalls the NC BCCCP patient for appropriate re-screening for breast and cervical cancer or other Women's Health programs.

# TAB 4: Management of Abnormal Clinical Findings

#### MANAGEMENT OF ABNORMAL CLINICAL FINDINGS

If an abnormality is found on clinical breast examination or screening mammography, further diagnostic workup is necessary to diagnose the nature of the abnormality. An algorithm that summarizes key management decisions is provided (See pages 21 and 22).

#### Abnormal Clinical Findings

#### I. Palpable Mass

Any patient with a solid, well-defined palpable mass (or an ill-defined mass) should be referred for breast imaging. If additional imaging does not explain the clinical finding, a surgical referral must be made. If cyclical cysts are suspected, a repeat CBE at a different point in a woman's menstrual cycle (within a month) may be offered.

Women who are older than 30 years old should be referred for a diagnostic mammogram and follow-up ultrasound at the discretion of the radiologist. Mammograms can be more difficult to interpret after diagnostic procedures such as fine needle aspirations, so it should be ensured that the mammogram appointment takes place *prior* to surgical evaluation. The location and nature of any breast abnormality detected on examination should be noted on the mammogram referral.

Women who are less than 30 years old should be referred for breast ultrasound. Again, the imaging should take place prior to surgical evaluation, and abnormal findings on breast examination should be noted on the ultrasound referral.

Referral to a surgeon should occur if breast imaging (mammogram and/or breast ultrasound) does not explain the clinical finding, and if the finding persists greater than two weeks. A negative mammogram in a patient with a palpable mass *does not* rule out breast cancer. Any questionable pathologic findings or pathologic findings that do not correlate with the imaging are indications for biopsy by excision to rule out the presence of occult malignancy in the region of the mammographic abnormality (US Department of Health and Human Services, 2016).

Mammography may miss up to 20 percent of cancers in women with dense breasts (National Cancer Institute, 2016). When a patient has an area of palpable concern that is limited by dense tissue, and the mammogram and spot compression magnification are unremarkable, ultrasound is performed.

Procedures a woman might undergo when referred to a surgeon include fine needle aspiration, core needle biopsy or surgical excisional biopsy. Fine needle aspiration (FNA) is particularly useful for a patient in whom it is suspected that a breast mass is a simple cyst. The procedure consists of inserting a 22- or 24-gauge needle into the mass and removing any fluid. Fluid is sent for laboratory analysis to assess for malignancy. Core needle biopsy consists of inserting a larger gauge needle into the mass and removing tissue for evaluation by a pathologist. Excisional biopsy consists of surgically removing the entire mass for evaluation by a pathologist (US Department of Health and Human Services, 2016).

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#### II. Non-palpable Masses Found on Mammography

Abnormalities on mammography are categorized by a system designed by the American College of Radiology called BI-RADS<sup>®</sup>, or the Breast Imaging Reporting and Data System (ACR BI-RADS Atlas, 2013). A mammogram report will contain one of seven designations:

Category O: Incomplete: Need Additional Imaging Evaluation

Category 1: Negative

Category 2: Benign Category

3: Probably Benign Category

4: Suspicious

Category 5: Highly Suggestive of Malignancy

Category 6: Known Biopsy-Proven Malignancy

Patients with normal breast exams whose mammograms report Category 1 or 2 findings do not require further follow-up and can be rescreened in one to two years.

Patients with screening mammograms that report Category 0 or diagnostic mammograms that report Category 3 findings should follow-up as suggested by the radiologist's recommendations. This might include immediate referral for additional imaging, referral for additional imaging or referral to a surgeon for biopsy.

Patients with mammograms that report Category 4 or 5 findings should always be referred for a biopsy. This referral should take place within five business days. The results of the mammogram should be made available to the surgeon to whom the patient is referred. Patient Navigation must be initiated for NC BCCCP patients with this finding.

III. Vague Thickening or Nodularity Not Suspicious for Cancer

#### Premenopausal Women

Management of premenopausal women with vague thickening not suspicious for cancer depends on multiple factors. For women who are young, without family history of breast cancer, no known genetic risks, no known changes in breast texture (by clinical exam and/or patient history), etc., clinician judgment will dictate whether a follow-up exam and/or mammogram is indicated. For women who are at increased risk (due to age, family history, ethnicity, etc.) or who may be less likely to be attentive to changes in their breasts, it is appropriate to repeat clinical breast examination mid-cycle after one or two menstrual cycles. If a localized area remains abnormal on repeated examination, the patient should be referred to a surgeon for evaluation. Mammography is ordered in such women just as described above under "The Palpable Mass."

#### Postmenopausal Women

Postmenopausal women with a questionable clinical breast examination should be referred for imaging and surgical evaluation per the recommendations above under "The Palpable Mass."

#### IV. Nipple Discharge or Skin Changes

The nature of nipple discharges should be defined by a careful patient history. A patient with a spontaneous, single duct discharge, even when non-bloody, is potentially pathologic and should be referred to a surgeon. However, bilateral milky, green or grey nipple discharge is typically benign. Medical work-up of galactorrhea may be appropriate for persistent milky discharge.

Patients with any skin breakdown require treatment and follow up. A trial of topical treatment (e.g. steroid cream) may be appropriate for a limited amount of time with reevaluation in 1-2 weeks. Patients without complete resolution or with recurring symptoms require a surgery referral. Biopsy of the nipple may be necessary to differentiate eczema of the nipple from Paget's disease (cancer of the nipple) in certain cases.

#### V. Breast Pain

Breast pain includes any discomfort or pain of the breast, such as premenstrual tenderness. Breast pain is typically benign. The question is how tolerable (or intolerable) the pain is for the woman. There are many causes of breast pain, including hormonal fluctuations related to menstruation or pregnancy, where some degree of pain is normal. With menopause breast tenderness often goes away, unless a woman is taking hormone replacement therapy.

Other causes of breast pain include fibrocystic breast changes, mastitis (blocked or infected milk duct), premenstrual syndrome (PMS), alcoholism with liver damage and injury. There are certain medications that cause breast pain including digitalis preparations, aldomet, aldactone and other potassium-sparing diuretics, anadrol and chlorpromazine.

If the clinical breast examination is normal, reassure the patient and explain the hormonal causes of breast pain. Typically, the patient's mind is put at ease. The provider may recommend a trial of non-narcotic analgesics such as acetaminophen, or topical or oral NSAIDs. The use of a well-fitting bra that provides good support, or the use of warm compresses may also be recommended. Although there is no clear evidence in the literature that shows reducing dietary caffeine, salt or fat improves breast pain, some women report anecdotal benefits from these changes. If the pain persists, a repeat breast exam and mammogram may be provided.

If the follow-up breast examination and mammogram are normal and breast pain persists, refer the woman to a breast specialist for further evaluation. For women with breast pain who have a palpable mass or mammographically detected abnormality, the work-up is identical to that of women with palpable mass. Though breast cancers are usually painless,

the presence of pain cannot reliably rule out breast cancer. There are a small percentage of breast cancers that present as painful or uncomfortable.

#### VI. Special Considerations

<u>Fibrocystic Breasts</u> - Fibrocystic changes are the most common cause of non-cancerous breast lumps (Mayo Clinic, 2016). They affect at least 50% of women at some point in their lives, most commonly between the ages of 30 and 50. Fibrocystic breasts are usually not a risk factor for breast cancer, but women with fibrocystic breasts may have diffusely lumpy breasts, making detection of underlying breast cancer more difficult. If there is any uncertainty about clinical breast exam in a patient with fibrocystic breasts, the patient may be referred for mammography, ultrasound, and/or a consultation with a breast specialist.

<u>Fibroadenoma</u> - A noncancerous rubbery mass in the breast that is usually painless and moves around easily when palpated. Fibroadenomas cannot be diagnosed with mammography, sonography or histopathology. Fibroadenomas can only be diagnosed with a biopsy.

<u>Pregnant and Lactating Women</u> - These women often experience breast tenderness and engorgement, which can make detection of masses more difficult. Clogged pores and/ or ducts may also be the culprit of breast pain or tenderness and it is recommended that if this is thought to be a problem that adequate time be given for this to resolve prior to intervention. Lactating women should empty their breasts prior to a mammogram.

If a palpable abnormality is found on CBE, diagnostic evaluation with ultrasound and mammography may need to be performed. Ultrasound is the first line imaging modality to be used in these patients since most of these findings are benign. However, if a suspicious mass/mass highly suggestive of malignancy is detected with ultrasound then bilateral diagnostic mammogram is recommended for further evaluation/extent of disease.

Mammography is thought to be safe to perform during pregnancy. The amount of radiation needed for a digital breast mammogram is small and focused only on the breast. A lead shield is placed over the lower part of the body for protection. Still, scientists cannot be certain about the effects of radiation on an unborn baby (ACS, 2016). Mammograms should only be used to evaluate distinct, dominant masses. The radiologist should always be informed if the woman is pregnant. A referral to a breast surgeon should be made for a definitive diagnosis.

#### Other Patients with a Difficult Breast Examination

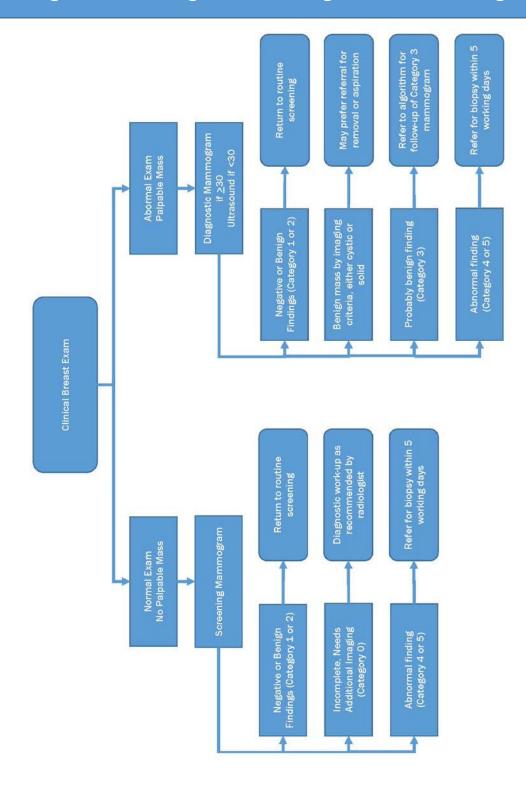
Some women may have a difficult clinical examination that requires further evaluation. This group may include:

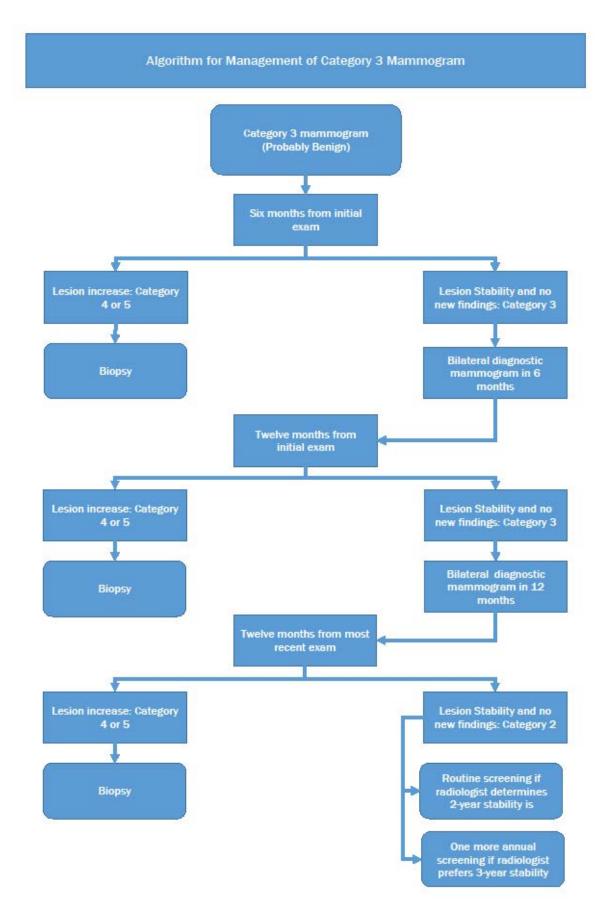
- Women who have had breast reduction surgery
- Women with multiple previous biopsies and scarring
- Women with breast implants
- Women who have had a mastectomy

If a clinician is unsure of the significance of findings on clinical examination in any of the above situations, a referral to a mammography or breast specialist should be made.

Algorithm for Management of Findings on Clinical Breast Screening (both screen and diagnostic are on the diagram) (See next page)

#### Algorithm for Management of Findings on Breast Screening





# TAB 5: Interpretation of Mammography Reports

# ORGANIZATION OF THE MAMMOGRAPHY REPORT REPORTING SYSTEM (ACR BI-RADS ATLAS, 2013)

The reporting system should be concise and organized using the following structure. If a comparison to previous studies is made, this should be indicated in the report.

#### A. INDICATION FOR EXAMINATION

- 1. A brief description of the indication for examination.
  - a. Screening for an asymptomatic woman
  - b. Recall for additional work-up of an abnormal finding
  - c. Evaluation of a clinical finding, specifying the finding and its location
  - d. Follow-up of a probably benign lesion or cancer treated with breast conservation
- 2. If an implant is present, both standard and implant-displaced views will be indicated.

#### B. SUCCINCT DESCRIPTION OF THE OVERALL BREAST COMPOSITION

- 1. Breast density helps indicate the relative possibility that a lesion could be obscured by normal tissue.
- 2. Mammography does not identify all breast cancers. Clinical breast examination (CBE) is an important element of screening. Abnormal CBE findings should never be ignored and may be especially important in dense breasts.
- 3. If breasts are not equally dense, the denser breast should be used to categorize composition
- 4. The categories of density are:
  - a. Almost entirely fatty
  - b. Scattered areas of fibroglandular density
  - c. Heterogeneously dense. If some areas are relatively dense but other areas are primarily fatty, a second sentence will describe the location(s) of the denser tissue. This category may obscure small masses.
  - d. Extremely dense. Mammography sensitivity is lowest in this category.

#### C. DESCRIPTION OF ANY IMPORTANT FINDINGS THAT MAY BE SUSPICIOUS FOR CANCER

#### 1. Mass:

- a. Size
- b. Morphology (shape, margin)
- c. Density
- d. Associated calcifications
- e. Associated features
- f. Location

#### 2. Calcifications:

- a. Morphology describe typically benign type or describe shape of particles
- b. Distribution (may not be appropriate for typically benign calcifications)
- c. Associated features
- d. Location

- 3. Architectural Distortion:
  - a. Associated calcifications
  - b. Associated features
  - c. Location
- 4. Asymmetries (asymmetry, global asymmetry, focal asymmetry, developing asymmetry):
  - a. Associated calcifications
  - b. Associated features
  - c. Location
- 5. Intramammary lymph node (rarely important): Location
- 6. Skin lesion (rarely important): Location
- 7. Solitary dilated duct (rarely present): Location (ACR, 2013).
- D. COMPARISON TO PREVIOUS EXAMINATION(S), IF DESIRED. Comparison to previous examination may assume importance if the finding of concern requires an evaluation of change or stability. Comparison is not important when a finding has unequivocally benign features. Comparison may be irrelevant when the finding is inherently suspicious for malignancy (ACR, 2013).

#### E. ASSESSMENT

- 1. The incorporation of an assessment category in the overall summary is mandated by the Food and Drug Administration, Mammography Quality Standards; Final Rule.
- 2. Assessment categories are described on pages 24 to 26 (ACR, 2013).

#### F. MANAGEMENT

- 1. If a suspicious abnormality is identified, the report should indicate that a biopsy should be performed in the absence of clinical contraindication.
- 2. See algorithm on page 21 for follow-up recommendations (ACR, 2013).
- G. OTHER. Any verbal discussions between the interpreting physician and the referring clinician or patient should be documented in the original report, or as an addendum to the report (ACR, 2013).

#### MAMMOGRAPHY ASSESSMENT CATEGORIES

#### Mammographic Assessment is Incomplete

Category 0: Incomplete: Need additional imaging evaluation and/or prior mammograms for comparison. This is most commonly used in a screening situation. Additional imaging may include spot compressions (with or without magnification), additional views, or ultrasound. Category 0 may also be used to indicate the need for comparison with previous study(ies). When those prior examinations have been compared, there should be an addendum to the initial mammography report that includes a revised assessment (ACR, 2013).

#### Mammography Assessment is Complete

Category 1: Negative. This is a normal exam and there is nothing to comment on (ACR, 2013).

Category 2: Benign. Like Category 1, this category indicates there is no mammographic evidence of malignancy, and routine mammographic screening is recommended. However, the interpreting physician has chosen to describe one or more characteristically benign findings such as:

- Involuting calcified fibroadenomas
- Skin calcifications
- Metallic foreign bodies such as core biopsy and surgical clips
- Fat-containing lesions such as oil cysts, lipomas, galactoceles and mixed-density hamartomas
- Intramammary lymph nodes
- Vascular calcifications
- Implants
- Architectural distortion clearly related to prior surgery (ACR, 2013).

Category 3: Probably benign. A finding in this category indicates less than 2% likelihood of malignancy, but greater likelihood than a characteristically benign finding. It is not expected to change over the period of surveillance, but the interpreting physician prefers to establish stability before recommending routine screening.

Commonly reported probably benign findings include:

- Non-calcified circumscribed solid mass
- Focal asymmetry
- Solitary group of punctate calcifications

This category should never be used to assess an initial screening mammogram without obtaining a complete diagnostic imaging evaluation. It should also not be used in the presence of a palpable lesion; nor should it be used for a finding that is either new or increasing in size or extent.

Most category 3 findings will be managed with short term (six months) mammographic follow-up, followed by additional mammograms until long term (2-3 years) stability has been established. Patient preference or overriding clinical concern may lead to biopsy rather than short term follow-up (ACR, 2013).

Category 4: Suspicious. This category indicates findings that do not have the classic appearance of malignancy but are sufficiently suspicious to recommend biopsy. The likelihood of a Category 4 finding being malignant ranges from greater than 2% to less than 95%. To narrow this range and better guide patients and clinicians regarding management, Category 4 findings may be subdivided into additional categories:

- 4A: low suspicion for malignancy (>2% 10% likelihood)
- 4B: intermediate suspicion of malignancy (>10% 50% likelihood)
- 4C: moderate concern, but not classic for malignancy (>50% <95% likelihood) (ACR, 2013).

Category 5: Highly Suggestive of Malignancy. Findings in this category have at least a 95% likelihood of being malignant. This category is typically established after an inconclusive percutaneous biopsy and will likely result in a recommendation for repeat biopsy (usually surgical) (ACR, 2013).

Category 6: Known Biopsy-Proven Malignancy. This category is reserved for examinations performed after biopsy proof of malignancy. It describes imaging performed after a percutaneous biopsy but prior to a complete surgical excision, in which there are no mammographic abnormalities other than the known cancer (ACR, 2013).

TAB: 6: APPENDICES

# TAB 7: Appendix A

#### APPENDIX A - BREAST CANCER GLOSSARY

#### Α

#### Abscess

An enclosed collection of pus in tissues, organs or confined spaces in the body. An abscess is a sign of infection and is usually swollen and inflamed.

#### Adenoma

A noncancerous tumor.

#### Adjunct agent

In cancer therapy, a drug or substance used in addition to the primary therapy.

#### Adjuvant therapy

Treatment given after the primary treatment to increase the chances of a cure. Adjuvant therapy may include chemotherapy, radiation therapy, hormone therapy, or biological therapy.

#### Areola

The area of dark-colored skin on the breast that surrounds the nipple.

#### Aspiration

Removal of fluid or tissue through a needle.

#### Axilla

The underarm or armpit.

#### Axillary dissection

Surgery to remove lymph nodes found in the armpit; axillary node dissection.

#### Axillary lymph node

A lymph node in the armpit region that drains lymph channels from the breast.

#### Axillary lymph node dissection

Surgery to remove lymph nodes found in the armpit region; axillary dissection.

#### В

#### <u>Benign</u>

Not cancerous. Benign tumors may grow larger but do not spread to other parts of the body.

#### Benign breast disease

A common condition marked by benign (noncancerous) changes in breast tissue. These changes may include irregular lumps or cysts, breast discomfort, sensitive nipples, and itching. These symptoms may change through the menstrual cycle and usually stop after menopause; fibrocystic breast disease; fibrocystic breast changes; mammary dysplasia.

#### **BI-RADS**

Breast Imaging Reporting and Data System. The method used by radiologists to interpret and report in a standardized manner the results of mammography, ultrasound, and MRI used in breast cancer screening and diagnosis.

#### Bilateral

Affecting both the right and left sides of the body.

#### Bilateral prophylactic mastectomy

Surgery to remove both breasts to reduce the risk of developing breast cancer; preventive mastectomy.

#### BRCA 1

A gene on chromosome 17 that normally helps to suppress cell growth. A person who inherits an altered version of the BRAC 1 gene has a higher risk of getting breast and ovarian cancer.

#### BRCA 2:

A gene that normally acts to restrain the growth of cells in the breast and ovary but which, when mutated, may predispose to breast cancer and to ovarian cancer.

#### Breast cancer in situ

Abnormal cells that are confined to the ducts or lobules in the breast. There are two forms, ductal carcinoma in situ (DCIS) and lobular carcinoma in situ (LCIS).

#### Breast density

Describes the relative amount of different tissue present in the breast. A dense breast has less fat than glandular and connective tissue. Mammogram films of breasts with higher density are harder to read and interpret than those of less dense breasts.

#### Breast implant

A silicone gel-filled or saline-filled sac placed under the breast tissue or chest muscle to augment or restore breast shape.

#### Breast reconstruction

Surgery to rebuild the shape of the breast after a mastectomy.

#### Breast self-exam

An exam by a woman of her breast to check for lumps or other changes.

#### Breast conserving surgery and Breast-sparing surgery

An operation to remove the breast cancer but not the breast itself. Types of breast-conserving surgery include lumpectomy (removal of a lump), quadrantectomy (removal of one quarter, or quadrant of the breast), and segmental mastectomy (removal of the cancer as well as some of the breast tissue around the tumor and the lining over the chest muscles below the tumor).

## C

#### Calcification

Deposits of calcium in the tissue. Calcification in the breast can be seen on a mammogram but cannot be detected by touch. There are two types of breast calcifications, macrocalcifications and microcalcification. Macrocalfications are large deposits and are usually not related to cancer. Microcalcifications are specks of calcium that may be found in an area of rapidly dividing cells. Many microcalcifications clustered together or in a segmental distribution may be a sign of cancer.

#### Carcinoma

Cancer that begins in the skin or in tissues that line or cover internal organs.

#### Carcinoma in situ

Epithelial cancer that lies above the basement membrane and has not spread to nearby lymphatic blood vessels' deeper structures.

#### Chemotherapy

Treatment with drugs that kill cancer cells.

#### Clinical Breast exam

An exam of the breast performed by a health care provider to check for lumps or other changes.

#### Clinical trial

A type of research study that tests how well new medical approaches work in people. These studies test new methods of screening, prevention, diagnosis, or treatment of a disease; a clinical study.

#### Complementary and alternative medicine (CAM)

Forms of treatment that are used in addition to (complementary) or instead of (alternative) standard treatments. These practices generally are not considered standard medical approaches. Varying quality and quantity of research are available to substantiate the safety and effectiveness of CAM. CAM may include dietary supplements, mega dose vitamins, herbal preparations, special teas, acupuncture, massage therapy, magnet therapy, spiritual healing, and meditation.

#### Core biopsy

The removal of a tissue sample with a large (typically 11 - 18 gauge) needle for examination under a microscope.

#### Cyst

A sac or capsule in the body. It may be filled with fluid or other materials.

## D

#### **Diagnosis**

The process of identifying a disease by the signs and symptoms.

#### Diagnostic mammogram

X-ray of the breast to check for breast cancer after a lump or other sign or symptom of breast cancer has been found.

#### Digital mammography

A technique that uses a computer, rather than x-ray film, to record images of the breast.

#### Ductal carcinoma

The most common type of breast cancer. It begins in the cells that line the milk ducts in the breast.

#### Ductal carcinoma in situ

A noninvasive, precancerous condition in which abnormal cells are found in the lining of a breast duct. The abnormal cells have not spread outside the duct to the tissues in the breast. In some cases, ductal carcinoma in situ may become invasive cancer and spread to other tissues, although it is not currently known how to predict which lesions will become invasive; intraductal carcinoma.

#### Ductal ectasia

Mammary duct ectasia occurs when a milk duct beneath the nipple widens, the duct walls thicken and the duct fills with fluid. The milk duct may become blocked or clogged with a thick, sticky substance. The condition often causes no symptoms, but some women may have nipple discharge, breast tenderness or inflammation of the clogged duct (periductal mastitis) (Mayo Clinic, 2016).

#### Ductal lavage

A method used to collect cells from milk ducts in the breast. A hair-size catheter (tube) is inserted into the nipple, and a small amount of salt water is released into the duct. The water picks up breast cells and is removed. The cells are checked under a microscope. Ductal lavage may be used in addition to clinical breast examination and mammography to detect breast cancer.

#### <u>Dysplasia</u>

Cells that look abnormal under a microscope but are not cancer.

## Ε

#### **Endocrine Therapy**

Treatment that adds, blocks, or removes hormones. For certain conditions (such as diabetes or menopause), hormones are given to adjust low hormone levels. To slow or stop the growth of certain cancers (such as prostate and breast cancer), synthetic hormones or other

drugs may be given to block the body's natural hormones. Sometimes surgery is needed to remove the gland that makes a certain hormone; hormonal therapy; hormone therapy; and hormone treatment (Cancer Dictionaries, 2016).

#### **Estrogen**

A type of hormone made by the body that helps develop and maintain female sex characteristics and the growth of long bones. Estrogen can also be made in the laboratory. These estrogens may be used as a type of birth control and to treat symptoms of menopause, menstrual disorder, osteoporosis, and other disorders.

#### Estrogen receptor

A protein found inside the cells of the female reproductive tissue, some other types of tissue, and some cancer cells. The hormone estrogen will bind to the receptors inside the cells and may cause the cells to grow.

### F

#### Fibroadenoma

A noncancerous rubbery mass in the breast that is usually painless and moves around easily on palpation.

#### Fibrocystic breast changes

A common condition marked by benign (noncancerous) changes in breast tissue. These changes may include irregular lumps or cysts, breast discomfort, sensitive nipples, and itching. These symptoms may change throughout the menstrual cycles and usually stop after menopause; benign breast disease; fibrocystic breast changes; and mammary dysplasia.

#### Fine-needle aspiration

The removal of tissue or fluid with a small needle for examination under a microscope; needle biopsy.

## G

#### Gene

The functional and physical unit of heredity passed from parent to offspring. Genes are pieces of DNA and most genes contain the information for making a specific protein.

#### Gland

An organ that makes one or more substances, such as hormones, digestive juices, sweat, tears, saliva, or milk. Endocrine glands release the substances directly into a duct or opening inside or outside the body.

## Н

#### HER2/neu

Human epidermal growth factor receptor 2. The HER/neu (or C-erb B-2) protein is involved in the growth of some cancer cells.

#### HER2/neu gene

The gene that makes the human epidermal growth factor receptor 2. The protein produced is HER2/neu, which is involved in the growth of some cancer cells; c-erbB-2.

#### <u>Hormone</u>

A chemical made by glands in the body. Hormones circulate in the bloodstream and control the actions of certain cells or organs. Some hormones can also be made in a laboratory.

#### Hormone receptor

A protein on the surface of a cell that binds to a specific hormone. The hormone causes many changes to take place in the cell.

#### Hormone replacement therapy

HRT. Hormones (estrogen, progesterone, or both) given to women after menopause to replace the hormones no longer produced by the ovaries; menopausal hormone therapy.

#### Hormone therapy

Treatment that adds, blocks, or removes hormones. For certain conditions (such as diabetes or menopause), hormones are given to adjust low hormone levels. To slow or stop the growth of certain cancers (such as prostate and breast cancer), synthetic hormones or other drugs may be given to block the body's natural hormones. Sometimes surgery is needed to remove the gland that makes a certain hormone; hormonal therapy; hormone therapy; endocrine therapy.

## I

#### <u>Immunotherapy</u>

Treatment to stimulate or restore the ability of the immune system to fight cancer, infections and other diseases. Also, used to lessen certain side effects that may be caused by cancer treatment; biological therapy, biotherapy, or biological response modifier (BRM) therapy.

#### **Incidence**

The number of new cases of a disease diagnosed each year.

#### Incisional biopsy

A surgical procedure in which a portion of a lump or suspicious area is removed for diagnosis. The tissue is then examined under a microscope.

#### Intraductal carcinoma

A noninvasive, precancerous condition in which abnormal cells are found in the lining of a breast duct. The abnormal cells have not spread outside the duct to other tissues in the breast. In some cases, intraductal carcinoma may become invasive cancer and spread to other tissues, although it is not known how to predict which lesions become invasive ductal carcinoma in situ.

#### Invasive cancer

Cancer that has spread beyond the layer of tissue in which it developed and is growing into surrounding, healthy tissues; infiltrating cancer.

#### LCIS

Lobular carcinoma in situ. Abnormal cells found in the lobules of the breast. The condition is considered nonmalignant; however, having lobular carcinoma in situ increases one's risk of developing breast cancer in either breast.

#### Lobe

A portion of an organ, such as the liver, lungs, breast, thyroid, or brain.

#### Lobular carcinoma

Cancer that begins in the lobules (the glands that make milk) of the breast. Lobular carcinoma in situ (LCIS) is a condition in which abnormal cells are found only in the lobules. When cancer has spread from the lobules to surrounding tissues, it is called invasive lobular carcinoma. LCIS in one breast increases the risk of developing invasive cancer in either breast.

#### Lymph node

A rounded mass of lymphatic tissue that is surrounded by a capsule of connective tissue. Lymph nodes filter lymph (lymphatic fluid), and they store lymphocytes (white blood cells).

#### Lymph node mapping

The use of dyes and radioactive substances to identify lymph nodes that may contain tumor cells; lymphatic mapping.

#### Lymphedema

A condition in which excess fluid collects in tissue and causes swelling. It may occur in the arm or leg after lymph vessels or lymph nodes in the underarm or groin are removed or treated with radiation.

## M

#### Magnetic resonance imaging (MRI)

A procedure in which radio waves and a powerful magnet linked to a computer are used to create detailed pictures of areas inside the body. The pictures can show the difference between normal and diseased tissue. MRI makes better images of organs and soft tissue than other scanning techniques, such as CT or x-ray. MRI is especially useful for imaging the brain, spine, the soft tissue of joints, and inside bones. Also, called nuclear magnetic resonance imaging.

#### Malignant

Cancerous. Malignant tumors can invade and destroy nearby tissue and spread to other parts of the body.

#### Mammogram

An x-ray of the breast.

#### Mammography

The use of x-rays to create a picture of the breast.

#### Margin

The edge or border of the tissue removed in cancer surgery. The margin is described as negative or clean when the pathologist finds no cancer cells at the edge of the tissue, suggesting that all the cancer has been removed. The margin is described as positive or involved when the pathologist finds cancer cells at the edge of the tissue, suggesting that all the cancer has not been removed.

#### Mastectomy

Surgery to remove the breast (or as much of the breast tissue as possible).

#### Menarche

A young woman's first menstrual period.

#### Menopause

The time of life when a woman's menstrual periods stop. A woman is in menopause when she hasn't had a period for 12 months in a row; "change of life."

#### Metastasis

The spread of cancer from one part of the body to another. A tumor formed by cells that have spread is called a "metastatic tumor" or a "metastasis." The metastatic tumor contains cells that are like those in the original (primary) tumor. The plural form of metastasis is metastases (meh-TAS-ta-seez).

#### Microcalcification

A tiny deposit of calcium in the breast that cannot be felt but can be detected on a mammogram. Grouped, regional and segmental distribution of these very small specks of calcium may indicate that cancer is present.

### Ν

#### Needle biopsy

The removal of tissue or fluid with a needle for examination under a microscope. Also, called fine-needle aspiration.

#### Needle-localized biopsy

A procedure that uses very thin needles or guide wires to mark the location of an abnormal area of tissue so that it can be surgically removed. An imaging device is used to place the wire in or around the abnormal area. Needle localization is used when the doctor cannot feel the mass of abnormal tissue.

#### Neoadjuvant therapy

Treatment given before the primary treatment. Examples of neoadjuvant therapy includes chemotherapy, radiation therapy, and hormone therapy.

#### Nipple discharge

Fluid coming from the nipple.

#### <u>Nonmalignant</u>

Not cancerous.

## 0

#### **Oncologist**

A doctor who specializes in treating cancer. Some oncologists specialize in a cancer treatment. For example, a radiation oncologist specializes in treating cancer with radiation.

#### Oncology

A study of cancer.

#### P

#### Palpation

Examination by pressing on the surface of the body to feel the organs or tissues underneath.

#### Pathologist

A doctor who identifies diseases by studying cells and tissues under a microscope.

#### Pathology report

The description of cells and tissues made by a pathologist based on microscopic evidence, and sometimes used to make a diagnosis of a disease.

#### Patient Navigation

Patient Navigation was founded by Harold P. Freeman, M.D. in 1990, when he initiated and developed the first Patient Navigation program in Harlem to reduce disparities in access to diagnosis and treatment of cancer, particularly among poor and uninsured people. The core principles of the concept which focus upon saving lives from cancer and chronic diseases, include informing patients about the need for certain recommended examinations and provide timely access to such examinations; eliminating barriers to timely care across the entire health care continuum; and to eliminate barriers to timely diagnoses and treatment in patients who have abnormal or suspicious findings (Harold P Freeman Institute, 2016).

#### <u>Prevention</u>

In medicine, action taken to decrease the chances of getting a disease. For example, cancer prevention includes avoiding risk factors (such as smoking, obesity, lack of exercise, and radiation exposure) and increasing protective factors (such as getting regular physical activity, staying at a healthy weight, and eating a healthy diet).

#### <u>Progesterone</u>

A female hormone.

#### Progesterone receptor (PR)

A protein found inside the cells of the female reproductive tissue, some other types of tissue, and some cancer cells. The hormone progesterone will bind to receptors inside the cells and may cause the cells to grow.

#### **Prognosis**

The likely outcome or course of a disease; the chance of recovery or recurrence.

#### Prophylactic mastectomy

Surgery to reduce the risk of developing breast cancer by removing one or both breasts before disease develops; a preventive mastectomy.

#### Prosthesis

A device that replaces a body part.

#### Punctate

Having small pinpoint calcium deposits.

## R

#### Radiation

Energy released in the form of particles or electromagnetic waves. Common sources of radiation include radon gas, cosmic rays from outer space, and medical x-rays.

#### Radiation oncologist

A doctor who specializes in using radiation to treat cancer.

#### Radiation therapy

The use of high-energy radiation from x-rays, gamma rays, neutrons and other sources to kill cancer cells and shrink tumors. Radiation may come from a machine outside the body (external-beam radiation therapy), or it may come from radioactive material placed in the body near cancer cells (internal radiation therapy, implant radiation, or brachytherapy). Systemic radiation therapy uses a radioactive substance, such as radiolabeled monoclonal antibody, that circulates throughout the body; radiotherapy.

#### Radical mastectomy

Surgery for breast cancer in which the breast, chest muscles, and all the lymph nodes under the arm are removed. For many years, this was the breast cancer operation used most often, but it is used rarely now. Doctors consider radical mastectomy only when the tumor has spread to the chest muscles; the Halsted radical mastectomy.

#### Radiologist

A doctor who specializes in creating and interpreting pictures of areas inside the body. The pictures are produced with x-rays, sound waves, or other types of energy.

#### Reconstructive surgeon

A doctor who can surgically reshape or rebuild (reconstruct) a part of the body, such as a woman's breast after surgery for breast cancer.

#### Recurrence

Cancer that has returned after an increment of time during which the cancer could not be detected. The cancer may come back to the same place as the original (primary) tumor or to another place in the body; recurrent cancer.

#### Remission

A decrease in or disappearance of signs and symptoms of cancer. In partial remission, some, but not all, signs and symptoms of cancer have disappeared. In complete remission, all signs and symptoms of cancer have disappeared, although cancer still may be in the body.

#### Risk factor

Something that may increase the chance of developing a disease. Some examples of risk factors for cancer include age, a family history of certain cancers, use of tobacco products, certain eating habits, obesity, lack of exercise, exposure to radiation or other cancer-causing agents, and certain genetic changes.

## S

#### Scintimammography

A type of breast imaging test that is used to detect cancer cells in the breasts of some women who have had abnormal mammograms, or who have dense breast tissue.

Scintimammography is not used for screening, or in place of a mammogram. In this test, a woman receives an injection of a small amount of a radioactive substance called technetium 99, which is taken up by the cancer cells, and a gamma camera is used to take pictures of the breasts.

#### Screening

Checking for disease when there are no symptoms.

#### Screening mammogram

An x-ray of the breast used to detect breast changes in women who have no signs of breast cancer.

#### Sentinel lymph node mapping

The use of dyes and radioactive substances to identify the first lymph node to which cancer is likely to spread from a primary tumor. Cancer cells may appear first in the sentinel node before spreading to other lymph nodes and other places in the body.

#### Sonogram

A computer picture of areas inside the body created by bouncing high-energy sound waves (ultrasound) off internal tissues or organs; an ultrasound.

#### <u>Stage</u>

The extent of a cancer in the body. Staging is usually based on where the cancer is located, how much the cancer has grown, and if or where it has spread. Diagnostic testing is utilized to determine the stage of cancer, so staging may not be complete until all testing is completed. Completing the staging protocol helps to determine the treatment that is best for the prognosis (American Joint Committee on Cancer [AJCC], 2010, p.347-76).

#### Stem cell

A cell from which other types of cells develop. Blood cells develop from blood-forming stem cells.

#### Stereotactic biopsy

A biopsy procedure that uses a computer and a 3-dimensional scanning device to find a tumor site and guide the removal of tissue for examination under a microscope.

#### Surgical oncologist

A doctor who performs biopsies and other surgical procedures in cancer patients.

## Τ

#### Tamoxifen

A drug used to treat breast cancer, and to prevent it in women who are at high risk of developing breast cancer. Tamoxifen blocks the effects of the hormone estrogen in the breast. It belongs to the family of drugs called antiestrogens.

#### Tissue flap reconstruction

A type of breast reconstruction in which a flap of tissue is surgically moved from another area of the body to the chest and formed into a new breast mound.

#### Tumor

An abnormal mass of tissue that results when cells divide more than they should or do not die when they should. Tumors may be benign (non-cancerous) or malignant (cancerous); neoplasm.

#### Tumor grade

The degree of abnormality of cancer cells, a measure of differentiation. The extent to which cancer cells are similar in appearance and function to healthy cells of the same tissue type. The degree of differentiation often relates to the clinical behavior of the specific tumor. Based on the microscopic appearance of cancer cells, pathologists commonly describe tumor grade by four degrees of severity: Grades 1, 2, 3, and 4 (1 low grade ... 4 high grade).



#### Ultrasound

A procedure in which sound waves (ultrasound) are bounced off internal tissue or organs and make echoes. The echo patterns are shown on the screen of an ultrasound machine, forming a picture of the body tissues called a sonogram; ultrasonography.



#### X-ray

A type of high-energy radiation. In low doses, x-rays are used to diagnose diseases by making pictures of the inside of the body. In high doses, x-rays are used to treat cancer. No longer widely available.

NCI, 2016. Dictionary of Cancer Terms. Retrieved on April 7 2016 from http://www.cancer.gov/publications/dictionaries

## TAB 8: Appendix B

# APPENDIX B – NORTH CAROLINA BCCCP AND WOMEN'S HEALTH ELIGIBILITY

#### NC BCCCP-Eligible Population

#### **Priority Populations**

- 1. The priority population for NC BCCCP mammography services is women who are low-income (below 250% of federal poverty level), who have not been screened in the past year and:
  - a. For federally funded services, the priority population is between the ages of 50 and 64.
  - b. For state-funded services, the priority population is between the ages of 40 and 64.
- 2. The priority population for NC BCCCP cervical cancer screening services is women who are low-income (below 250% of federal poverty level), who have not been screened in the past year and:
  - a. For federally funded services, the priority population is between the ages of 40 and 64.
  - b. For state-funded services, the priority population is between the ages of 21 and 64.
- 3. Another priority population is women of ethnic minorities and women who are uninsured or underinsured which should be considered for enrollment of women in NC BCCCP.

#### **Eligible Population**

- Women 21 to 75 years of age with gross incomes that are below 250% of the federal poverty level, according to the Federal Poverty Guidelines, and who are uninsured or underinsured, may be eligible for breast and cervical services, subject to the limitations and exceptions listed below.
- 2. Women enrolled in Medicare (Part B) and/or Medicaid programs are not eligible for program-funded services.
- 3. Women receiving Family Planning (Title X) services are not eligible for NC BCCCP-funded services that are available through Title X funding. Eligible women 21-39 with an undiagnosed breast or cervical abnormality may be able to receive NC BCCCP-funded diagnostic services if no other source or health care reimbursement is available.
- 4. Eligible women ages 21 to 39 with an undiagnosed breast or cervical abnormality may receive NC BCCCP funded diagnostic services if no other source of healthcare reimbursement is available.
- 5. Breast Services: At least 75% of all initial mammograms provided through NC BCCCP using federal funds must be for women ages 50 to 64. No more than 25% may be provided for symptomatic women under the age of 50.

- 6. Symptomatic women under the age of 50: NC BCCCP funds can be used to reimburse for Clinical Breast Exams (CBE) for symptomatic women under the age of 50. If the findings of the CBE are considered abnormal, including a discrete mass, nipple discharge, and skin or nipple changes, a woman can be provided a diagnostic mammogram and a referral for a surgical consult.
- 7. Screening women ages 40 to 49: NC BCCCP funds may be used to provide a clinical breast exam. If the CBE is abnormal follow-up may be provided. If the CBE is normal, the woman is not eligible for a screening mammogram through NC BCCCP using federal funds until she is age 50. Programs receiving NC BCCCP state funds may use those funds to provide screening mammograms for women age 40 to 49 and up to age 75.
- 8. Asymptomatic women under the age of 40: NC BCCCP funds cannot be used to screen asymptomatic women under the age of 40, even if they are considered high risk (e.g., women who have a personal history of breast cancer or first-degree relative with pre-menopausal breast cancer) for breast cancer.
- 9. Cervical Services: At least 20% of all enrolled women screened for cervical cancer shall meet the definition of never or rarely screened (more than 5 years ago).
- 10. At least 75% of the initial Pap tests using federal funds must be provided to women between the ages of 40 and 64.
- 11. No more than 25% of the Pap tests using federal funds may be provided to women less than 40 years of age.
- 12. Documented citizenship is not required for screening through NC BCCCP.
- 13. Income eligibility must be reassessed annually based on the revised federal poverty level.

North Carolina Women's Health Branch Programs Eligibility

# North Carolina Women's Health Branch Funding – Breast and Cervical Cancer Screening Services

Two Funding Sources: <u>1. Title X, 2. Heathy Mothers/Healthy Children</u>

Services

 Patients who seek services at clinics with Women's Health Branch funding may receive physical exams that include clinical breast exams and cervical cancer screening. Follow-up services for abnormal breast findings and/or abnormal cervical findings are not usually covered by Women's Health Branch funding.

#### **Eligibility**

 All patients seeking family planning services at clinics funded by Title X and Healthy Mothers/Healthy Children are eligible for services, including breast and cervical cancer screening.

#### Billing/Fees

- Patients with incomes below 100% of the Federal Poverty Level are not charged for family planning services, including clinical breast exams and cervical cancer screening.
- Patients with incomes between 101% and 250% of the Federal Poverty Level are charged for family planning services on a sliding fee scale per the Federal schedule of discounts, including charges for clinical breast exams and cervical cancer screening.
- Patients with incomes above 250% of the Federal Poverty Level are charged for family planning services in accordance with a schedule of fees designed to recover the reasonable cost of providing services, including charges for clinical breast exams and cervical cancer screening.
- However, Women's Health Branch funded clinics may not deny patients services or alter the quality of services if patients are unable to pay.

#### Sources:

- Program Requirements for Title X Funded Family Planning Projects; Version 1.0; April 2014; <a href="http://www.hhs.gov/opa/pdfs/ogc-cleared-final-april.pdf">http://www.hhs.gov/opa/pdfs/ogc-cleared-final-april.pdf</a>
- 2. Women's Health Branch, Family Planning Agreement Addenda: <a href="http://whb.ncpublichealth.com/provpart/agreementAddenda.htm">http://whb.ncpublichealth.com/provpart/agreementAddenda.htm</a>
- 3. Federal Poverty Guidelines For current federal poverty guidelines, see <a href="http://bcccp.ncdhhs.gov/Eligibility.asp">http://bcccp.ncdhhs.gov/Eligibility.asp</a>

TAB 9: Appendix C

# APPENDIX C: NORTH CAROLINA DIVISION OF MEDICAL ASSISTANCE - BREAST AND CERVICAL CANCER MEDICAID

#### North Carolina Medicaid

Please refer to the NC DMA website links below for more information on eligibility and application for North Carolina Medicaid through the North Carolina Breast and Cervical Cancer Control Program (NC BCCCP) for assistance with precancerous and cancer diagnoses while enrolled in NC BCCCP.

NC Division of Medical Assistance; Apply For Medicaid or Health Choice; http://dma.ncdhhs.gov/medicaid/get-started/apply-for-medicaid-or-health-choice

NC Division of Medical Assistance; Medicaid and Health Choice Family Planning Services; Clinical Coverage Policy No: 1E-7; Amended Date: April 1, 2016; http://dma.ncdhhs.gov/document/obstetrics-and-gynecology-clinical-coverage-policies

PROGRAM

## **Breast and Cervical Cancer Medicaid (BCCM)**

Do you have patients who would benefit from Medicaid to pay for their breast and cervical cancer treatment?

## Women must FIRST be eligible for BCCCP

Eligibility includes -

- Women who are below 250% of the Federal Poverty Guidelines, are uninsured or under-insured, and are not covered by Medicare Part B.
- Patients must be referred to the local BCCCP prior to diagnosis to be eligible for Breast and Cervical Cancer Medicaid.

## There are two ways you can enroll an eligible patient in BCCCP:

- 1. *PREFERRED METHOD:* Refer your patient to local BCCCP for screening as soon as she presents with or without complaints.
- 2. With the consent of the local BCCCP provider, refer a patient who has an abnormal clinical breast exam, mammogram and/or Pap test result to local BCCCP for diagnostic testing **before** cancer is diagnosed.

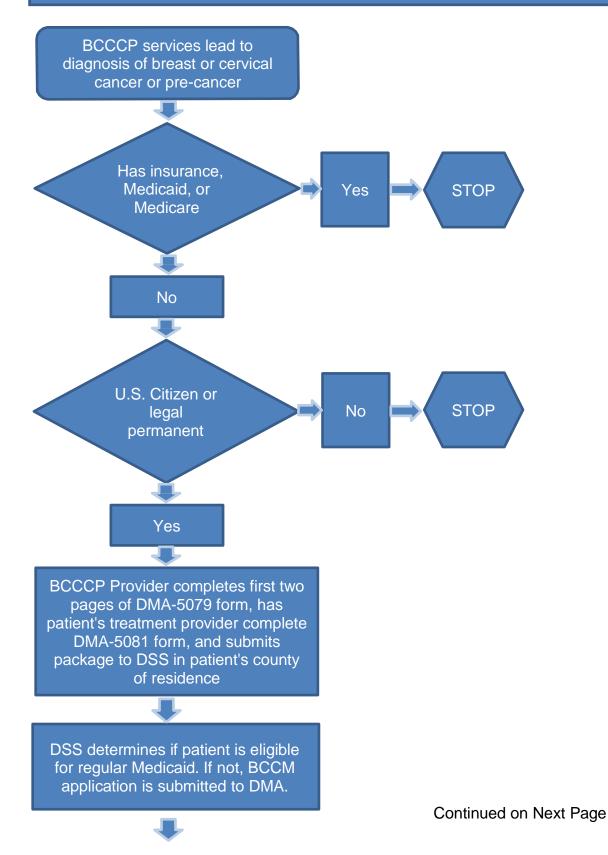
Final diagnostic testing *must* be provided through BCCCP for the patient to be eligible for BCCM.

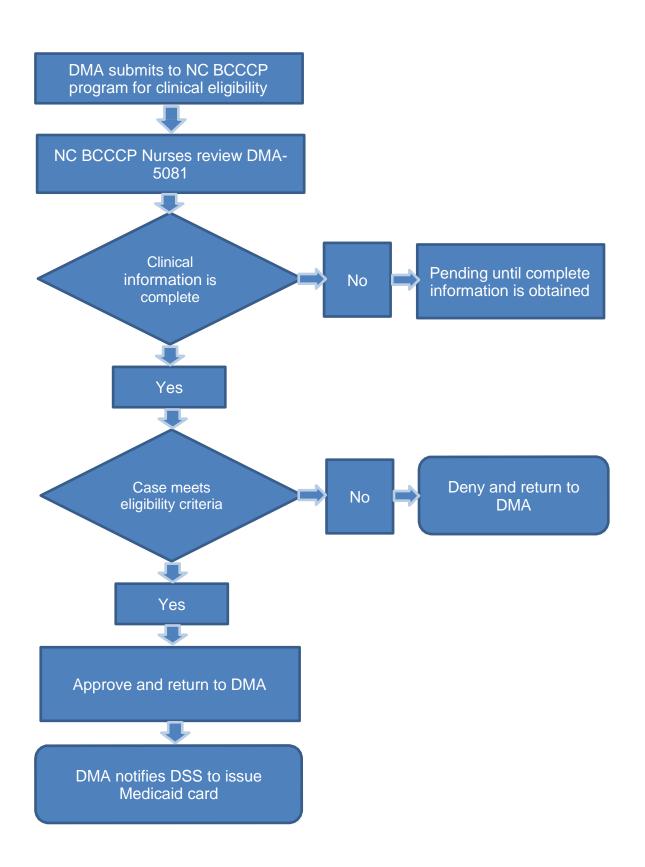
**Physicians Be Aware:** A patient referred by a non-BCCCP provider must be referred and enrolled in BCCCP prior to being diagnosed with breast or cervical cancer to be

For more information, contact the North Carolina Breast and Cervical Cancer Program (NC BCCCP) 919-707-5300



## The BCCM Process: How It Works





TAB 10: Appendix D

## APPENDIX D: NC BCCCP POLICIES

- 1. NC BCCCP & WISEWOMAN POLICY FOR PATIENTS INSURED UNDER THE PATIENT PROTECTION AND AFFORDABLE CARE ACT (ACA)
- 2. NBCCEDP Program Policy on Patient Navigation
- 3. BCCCP Eligibility for Family Planning Patients

## NC BCCCP & WISEWOMAN POLICY FOR PATIENTS INSURED UNDER THE PATIENT PROTECTION AND AFFORDABLE CARE ACT (ACA)

#### Introduction:

The North Carolina Breast and Cervical Cancer Control Program (NC BCCCP) is required by law to be the payer of last resort for women enrolled in the program. (Public Law 101-354, 42 U.S.C. § 300n (d)). Therefore, insurance must be billed for patients who have insurance, including those who have policies through the Patient Protection and Affordable Care Act (ACA), also known as Obama Care.

Impact on local agencies: Payer of Last Resort

All women seeking to be enrolled in NC BCCCP must be assessed at *each visit* for insurance status. If they are uninsured, they must be referred to the Health Insurance Marketplace. Referral may be directly to <a href="www.healthcare.gov">www.healthcare.gov</a>, or may be to a local entity that offers assistance to apply for ACA insurance, such as a navigator. NC BCCCP providers must track and report all referrals to the Health Insurance Marketplace (see NC BCCCP/WISEWOMAN Tracking Document).

Women who are <u>uninsured</u>: If the patient does NOT have insurance or her healthcare coverage is NOT yet effective, the woman may be enrolled in NC BCCCP if she meets age and income eligibility criteria. If NC BCCCP paid for a screening, the woman will count toward screening targets, and you may be reimbursed by NC BCCCP at the per-capita rate.

Women who are <u>underinsured</u> for screening: If the woman has insurance, she may still be enrolled in NC BCCCP if she meets age and income eligibility criteria and her insurance does not cover all screening services at 100%. However, the insurance must be billed as the primary insurance. Once the insurance has paid the portion, it covers and an Explanation of Benefits (EOB) has been received, NC BCCCP may pay the difference between what insurance covers and the amount allowed on the NC BCCCP Fee Schedule.

#### For example:

Screening procedure is billed at	\$2	L50.00
Maximum fee allowed by BCCCP is	\$	75.00
Insurance pays	\$	50.00
NC BCCCP may pay	\$	25.00
Amount service provider must write off	\$	75.00

These women count toward screening targets, since NC BCCCP paid for a portion of the screening costs. You may be reimbursed by NC BCCCP at the per-capita rate.

Women who are insured for screening but underinsured for diagnostic work-up: If the patient's insurance covers the screening services at 100%, NC BCCCP cannot pay for any portion of the screening. However, if the patient needs diagnostic work-up that is not covered at 100%, NC BCCCP may pay the difference between what insurance pays and the amount allowed by the NC BCCCP Fee Schedule. This patient will *NOT* count toward screening targets, and you will *NOT* be reimbursed by NC BCCCP at the per-capita rate.

Created January 30, 2015

## National Breast and Cervical Early Detection Program (NBCCEDP) Program Policy on Patient Navigation

Effective July 1, 2015

**Defining Patient Navigation** 

Clients often face significant barriers to accessing and completing cancer screening and diagnostics. Patient navigation is a strategy aimed at reducing disparities by helping clients overcome those barriers. For purposes of the NBCCEDP, patient navigation is defined as, "Individualized assistance offered to clients to help overcome healthcare system barriers and facilitate timely access to quality screening and diagnostics as well as initiation of treatment services for persons diagnosed with cancer."

Although patient navigation services vary based on an individual client's needs, at a

#### Required Patient Navigation Activities

mir	nimum, patient navigation for women served by the NBCCEDP must include the following
act	ivities:
\	Written assessment of individual client barriers to cancer screening, diagnostic services,
á	and initiation of cancer treatment
	Client education and support
	Resolution of client barriers (e.g., transportation, translation services)
	Client tracking and follow-up to monitor client progress in completing screening,
(	diagnostic testing, and initiating cancer treatment
	Given the centrality of the client-navigator relationship, patient navigation must include a
ı	minimum of two, but preferably more, contacts with the client.
	Collection of data to evaluate the primary outcomes of patient navigation – client
á	adherence to cancer screening, diagnostic testing, and treatment initiation. Clients lost to
1	follow-up should also be tracked.

#### **Priority Populations for Patient Navigation**

Navigation is an individualized intervention, intensive in nature, and potentially costly; therefore, priority should be given to navigate clients who otherwise would not complete the screening process. Patient navigation services may be provided to clients enrolled in the NBCCEDP as well as those who have other resources (e.g., insurance) to pay for screening and diagnostic services. Women who receive navigation through the NBCCEDP (i.e., NBCCEDP funds are used to pay for patient navigators or reimburse for patient navigation), but whose clinical services are paid for by other sources (e.g., insurance), must be low-income and be of appropriate age per USPSTF screening guidelines. For example, a grantee could support a patient navigator position in a clinic or hospital that serves low-income populations. Grantees must collect data to monitor client adherence to screening, diagnostic testing, and treatment initiation for *all clients* receiving patient navigation services.

Clients screened by the NBCCEDP who are subsequently insured may continue to receive patient navigation services. In such instances, grantees are encouraged to continue navigating clients to ensure diagnostic procedures are completed, and if cancer is diagnosed, that treatment is initiated. Navigators should also assist in obtaining complete MDE data.

#### **Terminating Patient Navigation**

Depending on screening and diagnostic outcomes, patient navigation services are terminated when a client (1) completes screening and has a normal result; (2) completes diagnostic testing and has normal results; (3) initiates cancer treatment or refuses treatment. When a client concludes her cancer treatment and has been released by her treating physician to return to a schedule of routine screening, and continues to meet NBCCEDP eligibility requirements, she may return to the program and receive all its services, including patient navigation.

*Note:* This policy is effective July 1, 2015 and will be added to the NBCCEDP Policy Manual when that document is next updated.

# North Carolina Breast and Cervical Cancer (NC BCCCP) Eligibility for Family Planning Patients

04/07/2015 Effective Immediately

#### Introduction:

The North Carolina Breast and Cervical Cancer is legally required to be the payer of last resort for women enrolled in the program [Public Law 101-354, 42 U.S.C. § 300n (d)]. Thus, NC BCCCP is unable to provide screening services that may be provided by the Family Planning (Title X) program.

#### Impact on local agencies:

Because Family Planning provides a clinical breast exam and Pap test for eligible women, NC BCCCP funds should not be used to pay for these services if the woman is eligible for or enrolled in family planning.

However, Family Planning may not be able to cover all expenses related to a screening cycle. In those cases, NC BCCCP funds may be able to help.

Local BCCCP agencies should develop a policy and standing orders regarding situations in which they will accept a Family Planning patient for diagnostic work-up to balance service to women in need with the need to preserve BCCCP funds for the BCCCP priority population. Policies must be approved by the agencies' BCCCP Nurse Consultants.

Situations in which NC BCCCP may be used to help:

#### Breast situations

- Women between the ages of 50 and 64 may have a screening mammogram provided through NC BCCCP, using Federal BCCCP funds. These women will count toward Federal screening targets. The local agency is eligible to be reimbursed \$255 of Federal funds for this patient.
- Women between the ages of 40 and 49 may have a screening mammogram provided through NC BCCCP, using State BCCCP funds. These women will count toward State screening targets. The local agency is eligible to be reimbursed \$255 of State funds for this patient.
- Women between the ages of 35 and 39 who present with an abnormal clinical breast examination may qualify for a mammogram through NC BCCCP. If this is the first mammogram in the screening cycle, it will count toward NC BCCCP screening goals, even if it is a diagnostic mammogram. The local agency is eligible to be reimbursed \$255 of State funds for this patient.
- Women between the ages of 21 and 34 years of age are not eligible for a mammogram through NC BCCCP. However, if they present with an abnormal clinical breast

examination, they *may* qualify for a NC BCCCP-funded ultrasound. These women will *not* count toward NC BCCCP screening targets. The local agency is *not* eligible to be reimbursed \$255 for this patient.

#### Cervical situations

- Women between the ages of 21 and 64 who have a Family Planning Pap result of HSIL or worse may have a diagnostic workup provided through NC BCCCP. These women will not count toward Federal screening targets. The local agency is not eligible to be reimbursed \$255 of Federal funds for this patient. However, serving this patient through NC BCCCP will enable her to qualify for Breast and Cervical Cancer Medicaid to pay for treatment if she meets other eligibility requirements and has a diagnosis of CIN 2 or worse.
- Women between the ages of 21 and 64 who have a Family Planning Pap result of ASC-H or LSIL may have a diagnostic workup provided through NC BCCCP. These women will not count toward NC BCCCP screening targets. The local agency is not eligible to be reimbursed \$255 of NC BCCCP funds for this patient. Serving this patient through NC BCCCP will enable her to qualify for Breast and Cervical Cancer Medicaid to pay for treatment if she meets other eligibility requirements and has a diagnosis of CIN 2 or worse. However, the likelihood of CIN disease in these patients is relatively low and may not be the best use of NC BCCCP funding. Please note: Women between the ages of 21 and 29 are not a priority population for NC BCCCP.

## TAB 11: Appendix E

# APPENDIX E: OTHER RESOURCES FOR INFORMATION AND TREATMENT

- 1. CancerCare Financial Assistance
- 2. CancerCare Co-Payment Assistance Foundation
- 3. Patient Advocate Foundation
- 4. Pretty in Pink Foundation
- 5. Additional Funding Outside of NC BCCCP
- 6. Cancer Information Resources



### CancerCare Financial Assistance

We offer limited financial assistance for cancer-related costs and our professional oncology social workers can help you find resources. <a href="https://www.cancercare.org">www.cancercare.org</a>

1-800-813-HOPE (4673)

info@cancercare.org

CancerCare® provides limited financial assistance to people affected by cancer. As a nonprofit organization, funding depends on the sources of support we receive at any given time. If we do not currently have funding to assist you, our professional oncology social workers will always work to refer you to other financial assistance resources. Please check our website periodically for funding updates.

#### Funding is currently available for:

- · Transportation, home care and child care
- Women with all diagnoses
- Children with all diagnoses
- Men and women with pancreatic cancer
- Men and women with multiple myeloma (transportation only)
- Men and women with all diagnoses residing in San Diego and Imperial counties, CA.
- Men, women and children with all diagnoses in certain counties in New York State, New Jersey and Connecticut
- Pain and anti-nausea medication, oral hormonal medication, lymphedema supplies and durable medical equipment
- Women and men with breast cancer

#### Eligibility

In order to be eligible for financial assistance you must:

- have a diagnosis of cancer confirmed by an oncology health care provider
- be in active treatment for your cancer
- live in the U.S. or Puerto Rico
- meet our eligibility guidelines of 250% of the Federal Poverty

#### Additional Resources:

Cancer Financial Assistance Coalition (CFAC)

CFAC is a group of organizations that help cancer patients and their loved ones manage the financial challenges of cancer. CFAC maintains an up-to-date database of organizations that provide financial help.

Cancer.net's Patient Guide, "Managing the Cost of Cancer Care"

This guide from the American Society of Clinical Oncology (ASCO) provides helpful tips for talking with your health care team about the costs of cancer care. The guide includes resources to assist you in financial planning before, during and after treatment.

Counseling, Support Groups, Education, Financial Assistance

# Cancer Care Co-Payment Assistance Foundation

# **PORTAL**

powered by patients&pros

## Cancer Care Co-Payment Assistance Foundation

Need help with chemotherapy or targeted treatment co-payments? <u>Visit the CancerCare</u> <u>Co-Payment Assistance Foundation's website</u>: (<a href="http://cancercarecopay.org">http://cancercarecopay.org</a>)

Cancer Care® Co-Payment Assistance Foundation (CCAF) offers a seamless, same-day approval process through a proven, state-of-the-art platform.

CancerCare® Co-Payment Assistance Foundation provides easy and immediate access to the full array of CancerCare® support services, including telephone, online and in-person counseling (<a href="http://www.cancercare.org/counseling">http://www.cancercare.org/counseling</a>), support groups (<a href="http://www.cancercare.org/support\_groups">http://www.cancercare.org/support\_groups</a>), information and resource referrals, publications (<a href="http://www.cancercare.org/publications">http://www.cancercare.org/support\_groups</a>), education (<a href="http://www.cancercare.org/connect\_workshops">http://www.cancercare.org/connect\_workshops</a>) and financial assistance (<a href="http://www.cancercare.org/financial">http://www.cancercare.org/financial</a>)

with treatment-related expenses such as transportation and child care. Contact us. It's all right here!

You can apply on behalf of your patient online through our PORTAL site (http://portal.cancercarecopay.org/).

To apply by phone, call 866-55-COPAY (866-552-6729) to speak with a co-payment specialist. They will determine if your patient is eligible for assistance. Office hours are 9 a.m. – 7 p.m. (EST) Monday through Thursday, and 9 a.m. to 5 p.m. (EST) on Friday. You'll need to have the following patient information available when you call or apply online: Name, date of birth, phone number, primary mailing address, Social Security number, number of dependents, household income, primary health insurance provider, diagnosis and product or medication.

CancerCare® Co-Payment Assistance Foundation 275 Seventh Avenue, 22nd Floor New York, NY 10001 866-55-COPAY (866-552-6729)

Fax: 212-601-9762

### PATIENT ADVOCATE FOUNDATION (PAF)

421 Butler Farm Road, Hampton, Virginia 23666 TEL: (757) 873-6668 & (800) 532-5274 FAX: (757) 873-8999

(800) 532-5274 www.patientadvocate.org info@patientadvocate.org

TEL: (757) 952-0118 & (866) 512-3861 FAX: (757) 952-0119

www.copays.org cpr@patientadvocate.org

TEL: (877) 614-9240 FAX: (757) 952-2031 <u>www.colorectalcareline.org</u>

<u>Our Commitment to Serve:</u> Patient Advocate Foundation (PAF) is the leading direct patient services organization whose mission is to eliminate obstacles for patients trying to access quality healthcare. PAF seeks to safeguard patients through effective mediation assuring access to care, maintenance of employment and preservation of their financial stability relative to their diagnosis.

#### How We Help:

Case Management Services: Since 1996, PAF has been providing *free* case management services to patients who are facing healthcare access issues as a result of their diagnosis of a chronic, life threatening or debilitating disease. Our Case Management team supports patients, providers and caregivers by:

- ✓ Resolving cost of living issues and medical debt crisis
- ✓ Arbitrating job retention issues to maintain benefits
- ✓ Identifying available coverage options for patients who are: uninsured, underinsured, Medicare- eligible and Medicaid-eligible
- Providing assistance within state and federal insurance marketplaces including eligibility and enrollment
- ✓ Securing pre-authorizations for treatment and pharmaceuticals
- ✓ Resolving coding and billing issues
- ✓ Facilitating the appeals process
- ✓ Coordinating benefits
- ✓ Negotiating free drug assistance
- ✓ Identifying copayment and coinsurance assistance

Co-Pay Relief (CPR): We are committed to helping patients get and stay on therapy. Our CPR program is a free service that provides qualified patients financial assistance with the co-payments, co-insurance and deductibles required by their insurer for pharmaceutical treatments and/or prescription medications prescribed to treat and/or manage their disease. CPR offers:

- ✓ Simple application process
- ✓ Easy documentation of income and diagnosis
- ✓ User-friendly online application portals available 24/7
- ✓ Look-back period for recently incurred pharmaceutical expenses
- ✓ Payments made directly to the provider, pharmacy or patient

## We are here to help, call us today. 1-800-532-5274

### Pretty in Pink Foundation

#### Our Care Promise

### Breast Cancer Financial Assistance Program

Pretty in Pink Foundation's mission is to provide financial assistance to uninsured and underinsured breast cancer patients who qualify through our program with quality, life-saving medical treatment regardless of their ability to pay. We make this happen with financial commitments through sponsorships, fundraising, donations, and pledge drives that support our Champions locally and throughout North Carolina.

We are here to help if:

- You do not have medical insurance
- Your medical insurance does not cover all services for your breast cancer treatment
- Your medical insurance has terminated
- Your medical insurance has limited coverage
- You completed a Medicaid application and are waiting for approval and/or you do not qualify
- Your financial status has changed

Financial Assistance may be available for the following services for those who qualify:

- Surgery
- Chemotherapy administration
- Radiation therapy
- Office co-pays
- COBRA
- Insurance premiums

As part of *Our Care Promise*, a Patient Resources Manager is available to conduct a financial assessment\* and help answer questions regarding financial options.

To speak with or set up an appointment with the Patient Resources Manager:

- Raleigh (919) 532-0532
- Wilmington (910) 509-7259
- Charlotte (704) 926-2013

or

Click on the "Contact Us" option on our website at www.prettyinpinkfoundation.org

<sup>\*</sup>Supporting documentation will be required to provide a complete financial assessment.

#### ADDITIONAL FUNDING RESOURCES OUTSIDE OF NC BCCCP

Below is information on various organizations that may assist women who do not qualify for our program or for our Medicaid Treatment Act:

- The AstraZeneca Foundation Patient Assistance Program provides therapies free of charge to those who could not otherwise afford them. Contact the AstraZeneca Cancer Support Network at 1-866-99 AZ CSN or 1-866-922-9276.
- 2. Merck & Co., Inc. has a drug assistance program. Visit <a href="www.merckuninsured.com">www.merckuninsured.com</a> or call 1-800-727-5400 for more information about the program and enrollment forms.
- Two other drug assistance programs can be found under the web site www.hcp.novartis.com and under www.copay.novartisoncology.com (800-282-7630).
- 4. Contact the NC Women's and Children's Health Section to find out if the patient qualifies for the medically needy program. Telephone Number: (919) 707-5510.
- Disability Determination Services is where to find out if patients qualify for assistance. Visit <u>www.ncdhhs.gov/assistance/disability-services/disability-determination-services</u>.
- 6. Susan G. Komen Breast Cancer Foundation. Visit <a href="ww5.komen.org">ww5.komen.org</a> or call 1-800-I'm Aware® (1-800-462-9273) or 1-877 GO KOMEN (1-877-465-6636).

#### **Cancer Information Resources**

American Cancer Society Telephone 1-800-ACS-2345 (1-800-227-2345) TTY 1-866-228-4327 for hearing-impaired

Website: http://www.cancer.org

Breast Cancer Resource Center Helpline: 1-800-309-0089 Website: http://www.bcrc.org

The North Carolina Institute for Public Health Breast Cancer Resource Directory Project Director

Telephone: 1-800-514-4860

Questions: <a href="mailto:bcresources@med.unc.edu">bcresources@med.unc.edu</a>
Website: <a href="http://bcresourcedirectory.org/">http://bcresourcedirectory.org/</a>

Cancer Control PLANET

Website: <a href="http://cancercontrolplanet.cancer.gov/">http://cancercontrolplanet.cancer.gov/</a>

Contact: http://cancercontrolplanet.cancer.gov/contact.html

CDC National Comprehensive Cancer Control Program

Website: <a href="http://www.cdc.gov/cancer/">http://www.cdc.gov/cancer/</a>

Imaginis: The Breast Cancer Resource

Website: http://imaginis.com

99999

National Breast and Cervical Early Detection Program

Website: http://www.cdc.gov/cancer/nbccedp

NCI

Telephone: 1-800-4-CANCER (1-800-422-6237)

Website: <a href="http://www.cancer.gov">http://www.cancer.gov</a>

Susan G. Komen Breast Cancer Foundation

Helpline: 1-800-462-9273

Website: <a href="http://www.komen.org/">http://www.komen.org/</a>

**UNC Lineberger Comprehensive Cancer Center** 

Website: <a href="http://cancer.med.unc.edu/">http://cancer.med.unc.edu/</a>

Phone at 919-966-3036

US Department of Health and Human Services Health and Human Services Healthfinder Website: http://www.healthfinder.gov/

## Colorectal Cancer Screening Information www.cdc.gov/cancer/colorectal/basic\_info/screening

"Terrence Howard – Get Screened for My Mom" <a href="https://www.youtube.com/channel/UChk">https://www.youtube.com/channel/UChk</a> 2XJO-AcgeSfvyBrAANA

Which Screening Test is Right for You? https://www.youtube.com/watch?v=x5UmOi-nrco

#### Five Myths About Colorectal Cancer

www.cancer.org/cancer/colonandrectumcancer/moreinformation/five-myths-about-colorectal-cancer

#### Insurance and Medicare Coverage

www.cdc.gov/cancer/colorectal/basic\_info/screening/insurance.htm www.cancer.org/cancer/colonandrectumcancer/moreinformation/colonandrectumcancerearlydetection/colorectal-cancer-early-detection-screening-coverage-laws

#### Free or Low-Cost Screening Options

Colonoscopy Assist

Offers low cost colon cancer screenings, including FOBT and Colonoscopies. A FOBT test can be ordered online for \$25, shipped to and completed in the privacy of your home, and mailed out for diagnosis. Colonoscopies procedures are offered at a flat rate of \$1075, no other fees will be charged.

<u>FOBT</u> - <u>http://www.colonoscopyassist.com/FOBT\_Uninsured.html</u>
Colonoscopy - http://www.colonoscopyassist.com/Facility\_Locations\_State\_Self\_Pay.html

#### Free Health Clinics and Community Health Centers

This directory includes 330 affordable and free clinics across North Carolina that may offer free or discounted rates for cancer screening services. Some community health centers have arranged formal written agreements with local or regional gastroenterologists to provide affordable colonoscopies. Other CHC providers have informal verbal agreements with colleagues in their geographic area to perform colonoscopies for uninsured patients with a positive FOBT/FIT. http://freeclinicdirectory.org/north\_carolina\_care.html

#### Shopping for an affordable colonoscopy

Consider requesting a discount from the gastroenterologists or explore payment plan options. Stop Colon Cancer Now.com – Information on how to shop for colonoscopy costs for uninsured. <a href="mailto:stopcoloncancernow.com/colonoscopy/cost-of-a-colonoscopy/colonoscopy-cost-for-uninsured">stopcoloncancernow.com/colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-of-a-colonoscopy/cost-

#### Find a screening facility or surgeon:

American College of Gastroenterology <a href="http://patients.gi.org/find-a-gastroenterologist/">http://patients.gi.org/find-a-gastroenterologist/</a> American Society of Colon and Rectal Surgeons <a href="https://www.fascrs.org/find-a-surgeon">https://www.fascrs.org/find-a-surgeon</a> AmSurg, Inc. <a href="http://stopcoloncancernow.com/find-a-center">https://stopcoloncancernow.com/find-a-center</a>

TAB 12: Appendix F

#### APPENDIX F: BREAST CANCER STAGING

Women who are diagnosed with breast cancer will be assigned a stage of disease by the specialist who makes the diagnosis. Although it will not be necessary for providers in local health departments to assign a stage to a patient's cancer, understanding the staging system might be helpful in interpreting correspondence from oncologists or breast surgeons.

The TNM Classification for Breast Cancer Based on the AJCC Cancer Staging Manual 7th edition

Staging is a way of describing where the cancer is located, how much the cancer has grown, and if or where it has spread. Doctors use diagnostic tests to find out the cancer's stage, so staging may not be complete until all the tests are finished. Knowing the stage helps the doctor to decide what kind of treatment is best and can help predict a patient's prognosis, which is the chance of recovery.

There are different stage descriptions for different types of cancer. The most commonly used tool that doctors use to describe the stage is the TNM system. The American Joint Committee on Cancer (AJCC) has designated staging by tumor (T), node (N), and metastasis (M) to define breast cancer. This is also called the TNM classification. Doctors look at these three factors to determine the stage of cancer:

- How large is the primary tumor and where is it located? (Tumor, T)
- Has the tumor spread to the lymph nodes, and if so, how many nodes are involved? (Node, N)
- Has the cancer metastasized to other parts of the body? (Metastasis, M)

The results are combined to determine the stage of cancer for each person. The AJCC staging system provides a strategy for grouping patients with respect to prognosis. The stage provides a common way of describing the cancer, so doctors can work together to plan the best treatments. Therapeutic decisions are formulated in part according to staging categories but primarily according to the following:

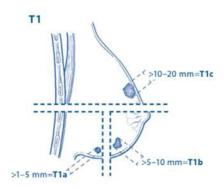
- Tumor size
- Lymph node status
- Estrogen-receptor and progesterone-receptor levels in the tumor tissue
- Human epidermal growth factor receptor 2 (HER2/neu) status
- Menopausal status
- General health of the patient

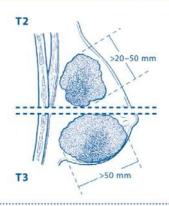
There are two types of TNM staging for breast cancer. First, the clinical stage is based on the results of tests done before surgery, such as a physical examination, x-rays, and CT and MRI scans. Then, the pathologic stage is assigned based on the pathology results from the breast tissue and any lymph nodes removed during surgery. In general, more importance is placed on the pathologic stage than the clinical stage (AJCC, 2010).

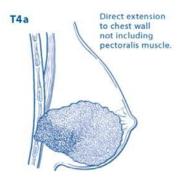
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# **Breast Cancer Staging**

7th EDITION







#### Primary Tumor (T)

- TX Primary tumor cannot be assessed
- TO No evidence of primary tumor
- Tis Carcinoma in situ
- Tis (DCIS) Ductal carcinoma in situ
- Tis (LCIS) Lobular carcinoma in situ
- Tis (Paget's) Paget's disease of the nipple NOT associated with invasive carcinoma and/or carcinoma in situ (DCIS and/or LCIS) in the underlying breast parenchyma. Carcinomas in the breast parenchyma associated with Paget's disease are categorized based on the size and characteristics of the parenchymal disease, although the presence of Paget's disease should still
- T1 Tumor ≤ 20 mm in greatest dimension
- T1mi Tumor  $\leq 1$  mm in greatest dimension
- Tla Tumor > 1 mm but ≤ 5 mm in greatest dimension
- T1b Tumor > 5 mm but ≤ 10 mm in greatest dimension
- T1c Tumor > 10 mm but ≤ 20 mm in greatest dimension
- T2 Tumor > 20 mm but  $\le 50$  mm in greatest dimension
- T3 Tumor > 50 mm in greatest dimension
- T4 Tumor of any size with direct extension to the chest wall and/or to the skin (ulceration or skin nodules)

  Note: Invasion of the dermis alone does not qualify as T4
- T4a Extension to the chest wall, not including only pectoralis muscle adherence/invasion
- T4b Ulceration and/or ipsilateral satellite nodules and/or edema (including peau d'orange) of the skin, which do not meet the criteria for inflammatory carcinoma
- T4c Both T4a and T4b
- T4d Inflammatory carcinoma (see "Rules for Classification")

#### Distant Metastases (M)

- M0 No clinical or radiographic evidence of distant metastases
- cMO(i+) No clinical or radiographic evidence of distant metastases, but deposits of molecularly or microscopically detected tumor cells in circulating blood, bone marrow, or other nonregional nodal tissue that are no larger than 0.2 mm in a patient without symptoms or signs of metastases
  - M1 Distant detectable metastases as determined by classic clinical and radiographic means and/or histologically proven larger than 0.2 mm

ANATOMI	C STAGE/P	ROGNOSTI	GROUPS
Stage 0	Tis	N0	MO
Stage IA	T1*	N0	MO
Stage IB	TO	N1mi	M0
	T1*	N1mi	MO
Stage IIA	T0	N1**	MO
	T1*	N1**	M0
	T2	N0	M0
Stage IIB	T2	N1	MO
	Т3	N0	MO
Stage IIIA	TO	N2	M0
	T1°	N2	MO
	T2	N2	MO
	T3	N1	M0
	T3	N2	MO
Stage IIIB	T4	N0	M0
	T4	N1	M0
	T4	N2	M0
Stage IIIC	Any T	N3	MO
Stage IV	Any T	Any N	M1

#### Notes

- T1 includes T1mi.
- T0 and T1 tumors with nodal micrometastases only are excluded from Stage IIA and are classified Stage IB.
- = M0 includes M0(i+).
- The designation pM0 is not valid; any M0 should be dinical.
- If a patient presents with MI prior to neoadjuvant systemic therapy, the stage is considered Stage IV and remains Stage IV regardless of response to neoadjuvant therapy.
- Stage designation may be changed if postsurgical imaging studies reveal the presence of distant metastases, provided that the studies are carried out within 4 months of diagnosis in the absence of disease progression and provided that the patient has not received neoadijuvant therapy.
- Postneoadjuvant therapy is designated with "yc" or "yp" prefix. Of note, no stage group is assigned if there is a complete pathologic response (CR) to neoadjuvant therapy, for example, ypT0ypN0cM0.



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# **Breast Cancer Staging**

7th EDITION

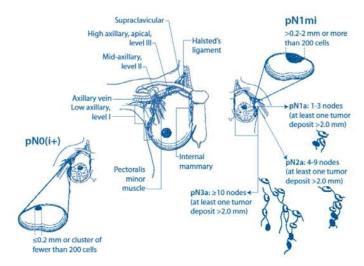
#### Regional Lymph Nodes (N)

#### CLINICAL

- NX Regional lymph nodes cannot be assessed (for example, previously removed)
- NO No regional lymph node metastases
- N1 Metastases to movable ipsilateral level I, II axillary lymph node(s)
- N2 Metastases in ipsilateral level I, II axillary lymph nodes that are clinically fixed or matted; or in clinically detected\* ipsilateral internal mammary nodes in the absence of clinically evident axillary lymph node metastases
- N2a Metastases in ipsilateral level I, II axillary lymph nodes fixed to one another (matted) or to other structures
- N2b Metastases only in clinically detected\* ipsilateral internal mammary nodes and in the absence of clinically evident level I, Il axillary lymph node metastases
- N3 Metastases in ipsilateral infraclavicular (level III axillary) lymph node(s) with or without level I, II axillary lymph node involvement; or in clinically detected\* ipsilateral internal mammary lymph node(s) with clinically evident level I, II axillary lymph node metastases; or metastases in ipsilateral supraclavicular lymph node(s) with or without axillary or internal mammary lymph node involvement
- N3a Metastases in ipsilateral infraclavicular lymph node(s)
- N3b Metastases in ipsilateral internal mammary lymph node(s) and axillary lymph node(s)
- N3c Metastases in ipsilateral supraclavicular lymph node(s)

#### Notes

"Clinically detected" is defined as detected by imaging studies (excluding lymphoscintigraphy) or by clinical examination and having characteristics highly suspicious for malignancy or a presumed pathologic macrometastasis based on fine needle aspiration biopsy with cytologic examination. Confirmation of clinically detected metastatic disease by fine needle aspiration without excision biopsy is designated with an (f) suffix, for example, cN3a(f). Excisional biopsy of a lymph node or biopsy of a sentinel node, in the absence of assignment of a pf. is classified as a clinical N, for example, cN1. Information regarding the confirmation of the nodal status will be designated in site-specific factors as clinical, fine needle aspiration, core biopsy, or sentinel lymph node biopsy. Pathologic classification (pN) is used for excision or sentinel lymph node biopsy only in conjunction with a pathologic T assignment.



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#### PATHOLOGIC (PN)\*

- pNX Regional lymph nodes cannot be assessed (for example, previously removed, or not removed for pathologic study)
- pNO No regional lymph node metastasis identified histologically

Note: Isolated tumor cell clusters (ITC) are defined as small clusters of cells not greater than 0.2 mm, or single tumor cells, or a cluster of fewer than 200 cells in a single histologic cross-section. ITCs may be detected by routine histology or by immunohischemical (IHC) methods. Nodes containing only ITCs are excluded from the total positive node count for purposes of N classification but should be included in the total number of nodes evaluated.

- pMO(i-) No regional lymph node metastases histologically, negative IHC
- pNO(i+) Malignant cells in regional lymph node(s) no greater than 0.2 mm (detected by H&E or IHC including ITC)
- pNO(mol—) No regional lymph node metastases histologically, negative molecular findings (RT-PCR)
- pNO(mol+) Positive molecular findings (RT-PCR)\*\*, but no regional lymph node metastases detected by histology or IHC
  - pN1 Micrometastases; or metastases in 1–3 axillary lymph nodes; and/or in internal mammary nodes with metastases detected by sentinel lymph node biopsy but not clinically detected\*\*\*
  - pN1mi Micrometastases (greater than 0.2 mm and/or more than 200 cells, but none greater than 2.0 mm)
  - pN1a Metastases in 1-3 axillary lymph nodes, at least one metastasis greater than 2.0 mm
  - pN1b Metastases in internal mammary nodes with micrometastases or macrometastases detected by sentinel lymph node biopsy but not clinically detected\*\*\*
  - pN1c Metastases in 1–3 axillary lymph nodes and in internal mammary lymph nodes with micrometastases or macrometastases detected by sentinel lymph node biopsy but not clinically detected
  - pN2 Metastases in 4–9 axillary lymph nodes; or in clinically detected\*\*\*\* internal mammary lymph nodes in the absence of axillary lymph node metastases
  - pN2a Metastases in 4–9 axillary lymph nodes (at least one tumor deposit greater than 2.0 mm)
  - pN2b Metastases in clinically detected\*\*\*\* internal mammary lymph nodes in the absence of axillary lymph node metastases
  - pN3 Metastases in 10 or more axillary lymph nodes; or in infraclavicular (level III axillary) lymph nodes; or in clinically detected\*\*\*\* ipsilateral internal mammary lymph nodes in the presence of one or more positive level I, II axillary lymph nodes; or in more than three axillary lymph nodes and in internal mammary lymph nodes with micrometastases or macrometastases detected by sentinel lymph node biopsy but not clinically detected\*\*\*; or in ipsilateral supraclavicular lymph nodes
  - pN3a Metastases in 10 or more axillary lymph nodes (at least one tumor deposit greater than 2.0 mm); or metastases to the infraclavicular (level III axillary lymph) nodes
  - pN3b Metastases in clinically detected\*\*\*\* ipsilateral internal mammary lymph nodes in the presence of one or more positive axillary lymph nodes; or in more than three axillary lymph nodes and in internal mammary lymph nodes with micrometastases or macrometastases detected by sentinel lymph node biopsy but not clinically detected\*\*\*
  - pN3c Metastases in ipsilateral supraclavicular lymph nodes

#### Notes

- Classification is based on axillary lymph node dissection with or without sentinel lymph node blopsy. Classification based solely on sentinel lymph node blopsy without subsequent axillary lymph node dissection is designated (sn) for "sentinel node," for example, pNO(sn).
- RT-PCR: reverse transcriptase/polymerase chain reaction.
- "Not clinically detected" is defined as not detected by imaging studies (excluding lymphoscintigraphy) or not detected by clinical examination.
- "Clinically detected" is defined as detected by imaging studies (excluding lymphoscintigraphy) or by clinical examination and having characteristics highly suspicious for malignancy or a presumed pathologic macrometastasis based on fine needle aspiration biopsy with cytologic examination.



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TAB 13: Appendix G

#### APPENDIX G: BREAST DENSITY

North Carolina requires all health care facilities that perform mammography examinations to include information about the patient's individual breast density in the summary of the report.

The American College of Radiology's BI-RADS® reporting of breast composition describes the proportion of fatty tissue to fibroglandular tissue as one of four categories:

- 1. The breast is almost entirely fat.
- 2. There are scattered fibroglandular densities.
- The breast tissue is heterogeneously dense, which could obscure detection of small masses.
- 4. The breast tissue is extremely dense. This may lower the sensitivity of mammography.

Categories 3 (heterogeneously dense) and 4 (extremely dense) are considered "dense breasts."

Breast density describes the amount of normal fibrous and glandular tissue in proportion to normal fatty tissue. Breasts are considered mammographically dense if a patient has a more fibrous or glandular tissue than breast fat.

As many as 50% of women in the U.S. have dense breast tissue and the other half have nondense tissue (more fatty tissue). Of those women with dense breast tissue, 10% have extremely dense breast tissue.

Dense breast tissue may make it more difficult for radiologists to detect cancer on mammograms. Normal dense tissue, cancer, and benign masses may all appear white on the mammogram. This accounts for the phenomenon called <u>masking</u>. The cancer/benign lesion is obscured by the normal surrounding breast tissue. Hence, the sensitivity of mammography is reduced by 10-20%, making screening mammograms less precise in women with dense breasts.

The recommendations for mammography are the same for women with dense breasts as non-dense breast women. Many cancers are still detected on mammograms even if the patient has dense breast tissue.

A mammogram is the only screening test that has demonstrated a reduction in breast cancer deaths. There is no recommendation that it be replaced with another screening test at the current time.

If a patient has dense breast tissue and is interested in additional screening options, a breast cancer risk assessment may be useful. This will allow a discussion of whether supplemental tests will be helpful, and if so, what tests to order.

<u>Screening breast MRI</u> (magnetic resonance imaging) in <u>HIGH RISK patients</u> (>20% lifetime risk of developing breast cancer) has been shown to increase the cancer detection rate. It is

recommended by the American Cancer Society for high risk patients in addition to a yearly screening mammogram.

For patients at INTERMEDIATE RISK (15-20% lifetime risk), such as those with a personal history of breast cancer or a prior biopsy with a diagnosis of atypia, the American Cancer Society reports that there is not enough data at the current time to recommend a MRI in these patients. However, a patient-centered shared decision-making approach is recommended, and it should be discussed with the patient that the additional test may detect other findings (non-cancers/false positives) that may lead to follow-up testing or biopsy.

The data on screening ultrasound is limited; therefore, there is no formal approval from the radiology community at the current time. Screening breast ultrasound is now offered at many centers. The patient must be made aware that if she chooses to have ultrasound screening for dense breasts with no other abnormal finding, she will be charged additional out of pocket expenses.

Breast tomosynthesis (3D mammography) expands the technology of conventional mammography. Some centers are currently using it in addition to screening mammography since preliminary results on its performance are positive. However, we do not know how well tomosynthesis performs in women with extremely dense breasts. At the current time, your patient must be made aware that if she chooses to have breast tomosynthesis screening for dense breasts with no other abnormal finding, she will be charged additional out of pocket expenses.

Insurance coverage for any supplemental breast cancer screening tests is not mandated in North Carolina. Screening breast MRI may be covered by insurance for HIGH RISK women, but not for the average risk patient. Consequently, women who want other types of screening may be asked to pay out of pocket.

Please visit this website for more information: http://www.ncacr.org/breast-health.php

NOTE: NC BCCCP does <u>not</u> pay for screening with ultrasound or MRI as adjunct screening solely for the finding of dense breasts. Under certain rare circumstances, a MRI may be performed and paid for through N.C. BCCCP only if preauthorized.

TAB 14: Appendix H

#### APPENDIX H: UNDERSTANDING GENETIC RISK FOR BREAST CANCER

#### How genes affect cancer risk

Cancer is a disease of abnormal gene function. Genes contain the instructions on how to make proteins the body needs to function, when to destroy damaged cells, and how to keep the cells in balance. Genes control things such as hair color, eye color, and height. They can also affect a person's chance of getting certain diseases, such as breast cancer.

Every cell in the body has all the genes a person was born with, but different types of cells may use different genes. For example, muscle cells use a different set of genes than skin cells. The genes that the cell is using are activated or turned on, while the genes that the cell doesn't need are turned off and not used.

An abnormal change in a gene is called a *mutation*. The 2 types of mutations are *inherited* and *acquired* (somatic).

- An inherited gene mutation is present in the egg or sperm that formed the child. After
  the egg is fertilized by the sperm, it created one cell called a zygote that divided to
  create a fetus (which became a baby). Since all the cells in the body came from this
  first cell, these kinds of mutations are in every cell in the body (including eggs or
  sperm) and so can be passed on to the next generation.
- An acquired (somatic) mutation is not present in the zygote but is acquired some time later. It occurs in one cell, and then is passed on to any new cells that are the offspring of that cell. This kind of mutation is not present in the egg or sperm and cannot be passed on to the next generation. Somatic mutations are much more common than inherited mutations. Most cancers are caused by acquired mutations.

All people have 2 copies of most genes – one from each parent. When someone has inherited an abnormal copy of a gene, their cells already start out with one mutation. If the other copy of the gene stops working (because of an acquired mutation, for example), the gene can stop functioning altogether. When the gene that stops working is a *cancer susceptibility gene*, cancer can develop. Some cancer susceptibility genes function as *tumor suppressor genes*. Tumor suppressor genes are normal genes that slow down cell division, repair DNA mistakes, or tell cells when to die (a process known as *apoptosis* or programmed cell death). When tumor suppressor genes do not work properly, cells can grow out of control, which can lead to cancer. Many family cancer syndromes are caused by inherited defects of tumor suppressor genes.

Someone who is not born with a bad copy of a gene would have to acquire 2 different mutations for that gene not to work. Acquiring 2 mutations in the same gene takes longer than acquiring one, which is why cancers that are caused by inherited gene mutations tend to occur earlier in life than cancers of the same type that are not. (American Cancer Society, "Family Cancer Syndromes", 2014)

#### Family Cancer Syndromes

Cancer is such a common disease that it is no surprise that many families have at least a few members who have had cancer. Sometimes, certain types of cancer seem to run in

some families. This is because family members have certain risk factors in common, such as smoking, which can cause many types of cancer. It can also be due in part to other factors, like obesity, that tend to run in families and influence cancer risk.

But in some cases, cancer is caused by an abnormal gene that is being passed along from generation to generation. Although this is often referred to as *inherited* cancer, what is inherited is the abnormal gene, not the cancer itself. Only about 5% to 10% of all cancers result directly from inherited gene mutations.

Family cancer syndromes related to breast cancer - when is it of concern?

When many cases of cancer occur in a family, it is usually due to chance or exposure to a common toxin, such as cigarette smoking. But sometimes these cancers may be caused by an inherited gene mutation causing a *family cancer syndrome*. Certain things make it more likely cancers in a family are caused by a family cancer syndrome.

For each case of breast cancer, look at:

- Who is affected? How are they related to the patient? Breast cancer in a close relative, like a parent or sibling, is more cause for concern than breast cancer in a more distant relative. Even if the cancer was from a gene mutation, the chance of it passing on gets lower with more distant relatives. It is also important to look at each side of the family separately. Having two relatives with breast cancer is more concerning if the two relatives with breast cancer are women on the same side of the family.
- How old was the relative (or the patient) when they were diagnosed? The age of the
  woman when the cancer was diagnosed is important. Breast cancer is rare in women
  who are pre-menopausal. Having two or more cases in close relatives prior to
  menopause could be a sign of an inherited cancer syndrome.
- Did the relative (or the patient) get more than one type of cancer? More than one type of cancer in a person (like a woman with both breast and ovarian cancer) may be a red flag for a family cancer syndrome.
- Did the relative (or the patient) get cancer in both breasts?
- Did the breast cancer occur in a male relative (or patient)?
- Did the relative or patient with cancer smoke or have other known risk factors?

Having the same type of cancer in many relatives is more concerning than if it is several different kinds of cancer. In some family cancer syndromes, a few types of cancer seem to go together. For example, breast cancer and ovarian cancer run together in families with hereditary breast and ovarian cancer syndrome (HBOC).

#### Hereditary Breast and Ovarian Cancer syndrome

For many years, doctors noticed that in some families, many of the women developed breast and/or ovarian cancer. Often the cancers were found at younger than usual ages, and some of the women had more than one cancer. Some had breast cancer in both breasts, and some got both breast and ovarian cancer. Doctors studying these families coined the term Hereditary Breast and Ovarian Cancer Syndrome (HBOC).

Scientists studied the genes of these families and discovered the genes *BRCA1* and *BRCA2*. Because some women (and families) have HBOC based on cancer history, but do not have mutations in these genes, scientists believe that there is at least one more gene that can cause HBOC, which they have called *BRCA3*. The *BRCA3* gene has not yet been identified.

In normal cells, these genes help prevent cancer by making proteins that help keep the cells from growing abnormally. Mutated versions of these genes cannot stop abnormal growth and can result in a higher risk for breast cancer. In some families with *BRCA1* mutations the lifetime risk of breast cancer is as high as 80%, but on average this risk seems to be in the range of 55% to 65%. For *BRCA2* mutations the risk is lower, around 45%.

Although the risk of breast and ovarian cancer is very high with mutations in either *BRCA1* or *BRCA2*, tends to be higher with *BRCA1* mutations.

Male breast cancer, pancreatic cancer, and prostate cancer can be seen with mutations in either gene but are more common in persons with *BRCA2* mutations. In the US, mutations in the *BRCA* genes are more common in women of Ashkenazi Jewish descent than in the general population (American Cancer Society, "Family Cancer Syndromes", 2014).

If someone in a family is found to have a *BRCA* mutation, it means that their close relatives (parents, siblings, and children) have a 50% chance of having a mutation, too. They may wish to be tested, or even without testing may wish to start screening for certain cancers early or take other precautions to lower their risk of cancer.

#### Li-Fraumeni syndrome

Li-Fraumeni syndrome is a rare syndrome that can lead to the development of several cancers, including sarcoma (such as osteosarcoma and soft-tissue sarcomas), leukemia, brain (central nervous system) cancers, cancer of the adrenal cortex and breast cancer. The cancers most often occur in childhood, although the breast cancers occur in young adults. Women with Li-Fraumeni can also be affected by more than one cancer in their lifetime. They also seem to be at higher risk of cancer from radiation therapy, and so doctors treating these patients may try to avoid giving them radiation when possible.

This syndrome is most often caused by inherited mutations in the *TP53* gene, a tumor suppressor gene. A normal TP53 gene stops the growth of abnormal cells.

Li-Fraumeni syndrome can also be caused by mutations in a gene called *CHEK2*. A *CHEK2* mutation, even when it doesn't cause this syndrome, can increase breast cancer risk about 2-fold.

#### Changes in other genes

Other gene mutations can also lead to inherited breast cancers. These gene mutations are much less common and most of them do not increase the risk of breast cancer as much as the BRCA genes. They are only occasional causes of inherited breast cancer.

- ATM: The ATM gene normally helps repair damaged DNA. Inheriting 2 abnormal copies of this gene causes the disease ataxia-telangiectasia. Inheriting one abnormal copy of this gene has been linked to a high rate of breast cancer in some families.
- *PTEN*: The *PTEN* gene normally helps regulate cell growth. Inherited mutations in this gene cause *Cowden syndrome*, a rare disorder that puts women at higher risk for

both non-cancerous and cancerous tumors in the breasts, as well as growths in the digestive tract, thyroid, uterus, and ovaries. Defects in this gene can also cause a different syndrome called *Bannayan-Riley-Ruvalcaba* syndrome that is not thought to be linked to breast cancer risk. The syndromes caused by mutations in *PTEN* can be grouped together as *PTEN Tumor Hamartoma Syndrome*.

- *CDH1*: Inherited mutations in this gene cause *hereditary diffuse gastric cancer*, a syndrome in which people develop a rare type of stomach cancer. Women with mutations in this gene also have an increased risk of invasive lobular breast cancer.
- STK11: Defects in this gene can lead to Peutz-Jeghers syndrome. Persons affected
  with this disorder have pigmented spots on their lips and in their mouths, polyps in
  the urinary and gastrointestinal tracts, and a higher risk of many types of cancer,
  including breast cancer.
- PALB2: The PALB2 gene makes a protein that interacts with the protein made by the BRCA2 gene. Mutations in this gene can lead to a higher risk of breast cancer. It is not yet clear if PALB2 gene mutations also increase the risk for ovarian cancer and male breast cancer. (American Cancer Society, "Family Cancer Syndromes", 2014)

#### A. Genetic Counseling and Genetic Testing for Breast cancer

If the patient is thinking about genetic testing, it is strongly recommended that she first talk to a genetic counselor who can explain and interpret the results of these tests. It is important to understand what genetic testing can and cannot tell her, and to carefully weigh the benefits and risks of genetic testing before these tests are done.

Cancer-related genetic tests are most commonly done as predictive genetic tests, to see if a woman has a certain gene mutation known to increase the risk for breast cancer. They can also be used to confirm a suspected gene mutation in a woman or her family.

Sometimes after a woman has been diagnosed with breast cancer, the doctor will do tests to look for gene changes in the cancer cells. These tests can give information on her prognosis and can sometimes help tell whether certain types of treatment might be useful. These types of tests look for gene changes only in the cancer cells that are taken from the patient. These tests are not the same as the tests used to find out about inherited cancer risk.

#### B. Deciding to have genetic testing – the genetic counselor

It is important to find out how useful testing may be before doing it. Talking to a primary care provider and meeting with a genetic counselor before the actual test will help her decide whether to have the testing done. The counselor can tell her about the pros and cons of the test, what the results might mean, and what her options are (American Cancer Society, "Understanding Genetic Testing for Cancer", 2016).

C. The counselor will do a risk assessment to find out how likely the woman is to develop breast cancer. This risk is based on things like her medical history and family history.

The woman will be asked why she wants to be tested. Family history as far back as possible and up to the present day will be reviewed in depth. It is important to confirm family history by medical records and/or death certificates whenever possible.

She will also be asked about her own medical history. This may include early detection practices, gynecologic history, lifestyle factors, and exposure to known carcinogens.

The woman will want to think about how the results might affect her and her relatives and discuss these issues with the counselor before testing. At this point, it often helps to look at her family's attitudes about cancer and the possibility of a family member being "blamed" for the cancer. Cultural beliefs, support systems, and finances may also play a role in how her family views cancer. These topics may be discussed, too.

The counselor will explain how families inherit cancers and how genes are passed on to children. They will also talk about the types of cancer seen in the family and estimates of the woman's breast cancer risk. The pros and cons, costs, and limits of testing are discussed too, as well as who in the family should consider being tested. More than one family member may be offered testing.

For example, if testing shows that the woman has a high breast cancer risk, the counselor may also talk about the best ways to manage it. These may include lifestyle changes, early detection, watching for signs and symptoms of breast cancer, medicines to reduce cancer risk, or even preventive surgery.

Many of these issues require the skills of an expert counselor. An increased risk of cancer, and the potential for discrimination can be frightening. The counselor will explore ways to cope, as well as her specific fears and concerns. How to discuss the test results and what they mean with other family members is another key topic that will be covered.

The woman's primary care provider may be able to refer her to a genetic counselor in her area. If not, she can find a list of certified genetic counselors on the websites of the National Society of Genetic Counselors (www.nsgc.org) or the National Cancer Institute (www.cancer.gov/cancertopics/genetics/directory).

#### D. Informed consent

After risk assessment and genetic counseling, if the woman decides to be tested she will be asked to give her informed consent in writing. Informed consent about testing should cover:

- The purpose of the genetic test
- The reason for offering the test
- The type and nature of the genetic condition being tested for
- Test accuracy
- Pros and cons of testing (including the limits of what the results might tell)
- Other testing options
- Treatment options that might be available depending on the test results
- Further decisions that may need to be made once the results are back

- The possible consent to use the results for research purposes after the test
- Availability of counseling and support services
- The right to refuse testing

#### E. Specimen collection and lab testing

Once the consent form is signed, lab tests are done. Genetic tests may be done on a sample of blood, hair, cheek swab, urine, or other body tissues. Genetic tests for cancer usually mean giving several tubes of blood.

#### F. Getting the test results

After the test is done, the genetic counselor will share the results with the woman at another counseling session. This might not happen until several weeks or even months after the samples are taken. Some women choose to bring a family member to help share results with other family members.

Testing does not always give clear answers, but genetic counselors are trained to interpret and explain the test results and what they might mean. If a mutation is found, the counselor will talk to the woman about which of her family members might also be affected. It will be important for those family members to know exactly which mutation was found and in which gene. They can then discuss this with their primary care providers and may decide to get tested, too.

#### If the result is positive

A positive result means there is a mutated gene (or genes) that may place the woman at risk. Her risk of developing breast cancer will be discussed because she has the mutation.

Many women are concerned or anxious after learning they are at increased risk for breast cancer. This is normal. The results may imply risk for certain blood relatives, and lead to strains in family relationships as well. Concern about being treated differently may become more real.

After the test is complete, there is often a great deal of uncertainty. There is no way to know for sure that breast cancer will develop. Her primary care provider or counselor can help sort through options of what she might be able to do to lower her risk.

#### If the result is negative

If the test result is negative, the woman does not have the gene mutation she was tested for. She will probably feel relieved that the test did not show she was at increased risk.

But it is important to understand that genetic test results cannot always guarantee she is not at increased risk. For instance, there might still be a chance that she has a different mutation that she was not tested for. And rarely, the test result may be a "false negative." This means the test reads negative, but the mutation is there.

Even a result that is truly negative does not mean her risk is zero – it just means she has the same average risk as most other women.

Family members with negative test results may feel guilty if other family members test positive. Her primary care provider or genetic counselor can help her understand what the test results mean for her and her family and how to deal with them.

#### If the result is inconclusive

If there is not enough information to know whether the genetic changes are present, the test result is said to be inconclusive. The woman (and family) may still be at a higher risk of developing breast cancer. Taking steps to lower her risk can be helpful for some women, but not having a sure result can still cause anxiety and frustration. Her primary care provider or genetic counselor can help her understand what the results might mean for her and her family and help her cope with them. In some cases, testing blood relatives can help clarify this result.

#### If the result is variance of unknown or uncertain significance

When genetic tests show that a woman has an unusual form of a gene, but doctors do not know what this gene change means, the result is called a variance of unknown or uncertain significance (VUS). It may be a normal variant, simply a different version of gene that is not seen often enough to be sure, or there may be some other explanation.

For some, a VUS result can cause anxiety, frustration, and even anger because this result gives no information to guide future decisions. Her primary care provider or genetic counselor can help her understand what the results might mean for her and her family and help her cope with them.

#### G. What if genetic testing shows an increased breast cancer risk?

If the woman's genetic test result is positive or inconclusive for a gene mutation that could increase her risk of breast cancer, managing the risk becomes a priority.

Some of the ways she might lower her risk include:

- Chemoprevention: Taking medicines to help reduce risk
- Preventive or prophylactic surgery: Removing the healthy breasts to try to keep cancer from starting there
- Lifestyle changes: Making healthy choices and changing behaviors to try to help reduce breast cancer risk
- Breast cancer screening: Doing what she can to find breast cancer early (through testing and awareness of early signs and symptoms), when the cancer is small, and treatment is most likely to be successful

Her primary care provider may recommend one or more of these approaches, but it is important to understand how much they could affect her risk before she decides on a course of action. She will also want to be sure she understands her risks and downsides before deciding on a plan. These approaches are discussed in more detail below.

#### Chemoprevention

Chemoprevention is the use of medicines to help keep cells from developing into certain types of cancer. Medicines such as tamoxifen and raloxifene can be used to help reduce breast cancer risk cancer in women known to be at high risk.

Each woman's risk and medical situation must be considered carefully so that any harmful effects of the drugs do not outweigh the benefits.

#### Prophylactic (preventive) surgery

Prophylactic (preventive) surgery is another option in some cases. Some women at high risk for breast cancer may decide to have their healthy breasts removed.

#### Changes in lifestyle factors

Ask her primary care provider about lifestyle changes she can make that could help decrease her breast cancer risk. For instance, limiting alcohol intake and exercising is known to help lower the risk of breast cancer.

In some cases, the effect of these changes on risk might be small compared to the increased risk from the mutation, but she may still want to ask her provider what she can do.

#### Breast cancer detection tests and awareness

Early detection tests may be started at an earlier age or be done more often, or special tests may be needed if she has a positive genetic test result. For instance, a woman with a genetic mutation that raises her risk of breast cancer might choose to have breast MRI scans along with her mammograms to look for early signs of the breast cancer.

It is also important to be aware of the possible signs and symptoms of breast cancer, and to see a doctor right away if anything concerns her. Finding breast cancer early – when it is small and has not spread – offers the best chance of treating it successfully.

#### H. Sharing results with family members

If the woman has a gene mutation that raises her risk, she may need to think about whether to tell other family members who might also be at increased risk. Telling them might help them decide if they should get tested or adopt some of the approaches to try to lower their risk.

On the other hand, some test results may cause more anxiety than anything else, and some family members may not want to know their own risk. This is especially true if there is not much they can do with the results. She might want to speak with family members before she gets tested to find out if they want to know her results. (American Cancer Society, "What Happens During Genetic Testing for Cancer?", 2016)

#### Advantages and Disadvantages of Genetic Testing for Breast Cancer Risk

Genetic testing is a hot topic and can be used to learn about inherited breast cancer risk. But there are many things to think about before having it done. Talking to a primary care provider and meeting with a genetic counselor before the actual test will help a woman

know what to expect. Here are some of the advantages and disadvantages she should discuss before testing.

#### J. Advantages

The most obvious benefit of genetic testing is the chance to better understand her risk for breast cancer.

For families at risk, a negative result may help ease anxiety.

A positive result can help her make important decisions about her health, including things she can do that might help lower her risk. A positive result may also lead her primary care provider to have her:

- Start breast cancer screening tests earlier
- Get screened for breast cancer more often
- Get screening tests that are used only for women known to be at increased breast cancer risk
- Watch herself closely for signs or symptoms of breast cancer
- Learn about options to help reduce the risk of breast cancer, such as drugs or surgery

If she does develop breast cancer, finding it early often means that treatment is more likely to be helpful.

#### Disadvantages

Genetic testing results often give limited answers. Testing can only tell her if she has a specific gene mutation, not if she will get breast cancer. A positive test result does not always mean she will get the disease. The test can tell what *might* happen, but it cannot tell what *will* happen. On the other hand, a negative result does not mean she has no risk of getting breast cancer. And risk can change over time due to lifestyle choices, and simply getting older.

As with many medical tests, genetic tests may be flawed, or test results may be read wrong. This is not common, as many steps are taken to prevent this, but at the current time genetic testing is not tightly regulated. Different labs may have different ways of looking for certain changes.

Many women are anxious even before they get their test results. Learning that she or a loved one might develop breast cancer can be frightening. The woman being tested may find it even more upsetting if family members have already died of breast cancer. Having a gene or passing the gene on to children can also lead to guilt or anger.

Not all family members might want to know if they might be at increased risk, especially if there is not much they can do about it. Testing any family member might lead to anxiety and other concerns in other family members.

Privacy may become an issue when many family members could be affected by a single positive genetic test result. More family members may need to be tested. Sometimes family secrets are revealed as a result – paternity, adoptions, or other difficult issues may come up.

In some cases, more medical tests or procedures may be recommended because of genetic testing. For example, if a gene mutation for breast cancer is found, more tests like breast MRI may be recommended. This can be a good thing, if these other tests help keep her free of breast cancer or if they find it early, when it is likely to be easier to treat. But the tests can have downsides as well, such as the time and expense involved, as well as possible risks from the tests themselves. These extra tests can also lead to more stress and anxiety.

Genetic testing can be expensive. Depending on the tests done, the final bill can be thousands of dollars. She needs to have an idea of how much it will cost before she has testing done.

Not all insurance companies will pay for the testing.

Although the law limits what most (not all) employers and most (not all) health insurance companies can do with genetic information, positive results may lead to denial of coverage or higher rates for life insurance, disability insurance, and long-term care insurance.

#### What about privacy?

Many women are concerned about the privacy of her genetic information. Even if her insurance company does cover genetic testing, she may choose to pay for it herself to keep the results as private as possible.

Most women who ask about the privacy of genetic information are worried about how the information could be used in ways that can harm them. Before she decides on testing, it is important to think about who might learn about her results and with whom she will share her results. Most Americans are afraid that employers and insurance companies might get and use their genetic information. The truth is, patients, families, and primary care providers are not the only ones interested in genetic information. Here are some of the other groups who might want to use this information:

#### Medical and pharmaceutical researchers

Medical and pharmaceutical researchers are interested in low-cost access to genetic information and materials. Members of the pharmaceutical lobby have argued against women owning their own genetic information, stating it would drive up drug costs, which would be passed on to the consumer.

Today, medical researchers must get the individual's informed consent before any studies of tissue samples and DNA can be done.

#### **Employers**

Employers can ask for genetic testing only when it is used to monitor exposure to potentially toxic chemicals and substances in the workplace. Discrimination and employment decisions based on genetic information are barred at the national level for *most* employers.

#### Insurers

Federal law does not allow *health insurers* to use genetic information when deciding who to cover and how much to charge for insurance. But the law does <u>not</u> restrict use of genetic information for *life insurance*, *disability insurance*, or *long-term care insurance*.

Legal protection - what it does and does not do

#### GINA (Genetic Information Nondiscrimination Act of 2008)

GINA is a federal law that prohibits the use of genetic information in workplace employment decisions for non-governmental organizations with more than 15 employees. This law also bars health insurers from making coverage or cost decisions based on genetic information.

GINA defines genetic information as:

- A woman's genetic test results
- The genetic test results of family members
- If one or more family members are known to have a genetic disease or disorder

Employers: GINA bars employers from discriminating based on genetic information in hiring, firing or layoffs, pay, or other personnel actions such as promotions, classifications, or assignments. The law applies no matter how they got the information.

Employers are not allowed to require genetic testing and cannot collect genetic information except for very limited exceptions. For instance, it may be allowed when information is needed to meet the requirements of family and medical leave laws or to watch for harmful effects from hazardous workplace exposures.

Employers must keep genetic information confidential. They cannot release or share genetic information except when:

- The employee asks them to
- Fulfilling a request from a health researcher
- Complying with medical leave law
- Disclosing or reporting to a public health agency

Health insurers: GINA bars health insurers (including group health plans, individual plans, and Medicare supplemental plans) from turning down women or charging higher premiums for health insurance based on genetic information or for using genetic services. This includes genetic counseling and testing. The law also bars these insurers from asking for or requiring genetic tests. GINA applies to all health insurance plans (including federally regulated ERISA plans, state-regulated plans, and private individual plans).

More details about GINA: A few states have stronger laws than GINA. GINA does not replace state laws against genetic discrimination that are broader in scope. Rather, GINA establishes a national baseline protection while allowing states to impose stronger protection.

#### What GINA does not do:

GINA's protections do not apply to life insurance, disability insurance, or long-term care insurance. It also doesn't require health insurance to cover genetic testing.

GINA does not apply to very small employers (with fewer than 15 employees), nor does it apply to military health plans, the Veterans Administration, or the Indian Health Service. GINA does not apply to federal employees who get health coverage through the Federal Employees Health Benefits Plans. (American Cancer Society, "Should I Get Genetic Testing for Cancer Risk?", 2016)

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NOTE: NC BCCCP does <u>not</u> pay for Genetic Testing at the current time. Please contact your NC BCCCP Nurse Consultant for questions.

TAB 15: Appendix I

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